

12 - 454 Rec'd PCT/PTO 04 DEC 2000

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

99977-410

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Not Yet Assigned

PRIORITY DATE CLAIMED
June 4, 1998

INTERNATIONAL APPLICATION NO.
PCT/AU99/00434

INTERNATIONAL FILING DATE
June 4, 1999

TITLE OF INVENTION

Phenotype Modifying Genetic Sequences

APPLICANT(S) FOR DO/EO/US

Bernard J. Carroll

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
 4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 16 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information: **Return Postcard**

Express Mailing Label No. EK 071845589US

Certificate of Express Mailing

APPLICATION NO. (if known, see 37 CFR 1.5)
Not Yet AssignedINTERNATIONAL APPLICATION NO.
PCT AU99/00434ATTORNEY'S DOCKET NUMBER
99977.41017. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO **\$1000.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY****525 Rec'd PCT/PTO 04 DEC 2000****\$ 1,000**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	22 - 20 =	2	X \$18.00	\$ 36	
Independent claims	10 - 3 =	7	X \$80.00	\$ 560	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 270	

TOTAL OF ABOVE CALCULATIONS = \$1,996

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 998**SUBTOTAL = \$**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$**TOTAL NATIONAL FEE = \$**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

\$**TOTAL FEES ENCLOSED = \$****Amount to be
refunded: \$****charged: \$ 998**

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 08-0219 in the amount of **\$998** to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE:

M. Lisa Wilson

NAME

34,045

REGISTRATION NUMBER

12-17-01

JC18 Rec'd PCT/PTO 10 DEC 2001

#9



Docket No. 111590-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bernard John Carroll

Serial No.: 09/701,926

Filing Date: December 4, 2000

For: Phenotype Modifying Genetic Sequences

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

United States Patent and Trademark Office
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Post Office Box 2327
Arlington, VA 22202

I hereby certify that this correspondence is being submitted by Express Mail, Label No. EL 727 326 495 US. Postage Pre-Paid, Addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on
Date: December 10, 2001
Signature: *M. Lisa Wilson*
Reg. No. 34,045

Sir:

**RESPONSE TO NOTIFICATION OF DEFECTIVE
RESPONSE AND PRELIMINARY AMENDMENT**

In response to the Notification of Defective Response, dated November 8, 2001 (hereafter "Notice"), Applicant respectfully requests entry of the present Preliminary Amendment in the above-identified patent application.

IN THE SPECIFICATION:

Please delete the section entitled "Sequence Listing" and replace such deleted section with the replacement section provided in Appendix A. A marked-up version of the deleted pages is attached as Appendix B.

REMARKS

The Notification of Defective Response indicates that the previously submitted Sequence Listing does not comply with the requirements of 37 C.F.R. § 1.822 or 1.823. In response thereto, Applicant submits this preliminary amendment, revised paper copy of a Sequence Listing as well as a copy of the Sequence Listing in Computer Readable Form ("CRF") as required under 37 C.F.R. § 1.821(e). Pursuant to 37 C.F.R. § 1.821(f), Applicant hereby states that the sequence listing information recorded in computer readable form is identical to the paper

09701926-000101

copy submitted herewith. In addition, this submission contains no new matter as required under 37 C.F.R. §1.821(g).

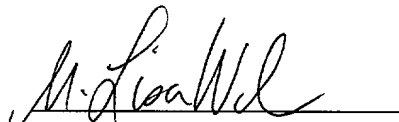
This submission replaces (1) the Sequence Listing submitted August 10, 2001 in response to the Notification of Missing Requirements dated May 21, 2001; and (2) the Sequence Listing submitted September 24, 2001 in response to the Notification of Missing Requirements dated September 13, 2001. Appendix B provides a Sequence Listing which has been made up relative to that provided September 24, 2001.

In particular, Applicant revised SEQ ID NOS: 3, 4, 9, 11, 12, 13, 14, 15, 32, 33, 34, 35, and 39 to indicate the location of "n" and that "n" represents the four nucleotides "G, A, T and C."

The Commissioner is also hereby authorized to charge any deficiency to our Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,


M. Lisa Wilson
Reg. No. 34,045

December 10, 2001

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Appendix A - Clean version

05701926-060101

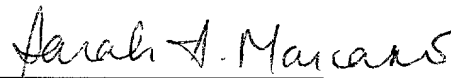
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Bernard J. Carroll
Serial No. : Not Yet Assigned
Filing Date : December 4, 2000 (Herewith)
For: : Phenotype Modifying Genetic Sequences
Examiner : Not Yet Assigned
Art Unit : Not Yet Assigned

Certificate of Express Mailing

EK 071845589US

I hereby certify that the following documents are being deposited with the United States Patent and Trademark Office as Express Mail, postage prepaid in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231 on December 4, 2000.



Sarah A. Marciano

Assistant Commissioner for Patents
Washington D.C. 20231

Sir:

PRELIMINARY AMENDMENT

Applicants respectfully request entry of the present Preliminary Amendment in the above-identified patent application.

IN THE CLAIMS:

Please amend the following claims without prejudice:

In Claim 4, line 1, please delete "or 4";

In Claim 7, line 1, after "1 to 6", please add --or 21--;

In Claim 14, line 1, please delete "or 13".

Please add the following claims:

--21. A PMGS according to claim 4 wherein the amylase is α -amylase.

22. A method according to claim 13 wherein starch metabolism is modulated by modulating expression of the gene encoding α -amylase.--


REMARKS

Applicants submit the above amendments to correct improper multiple dependencies contained in the claims as presented in the published PCT application which is being filed herewith as the specification of this application. No new matter has been added to the claimed subject matter.

The pending claims of this patent application are Claims 1-22. Examination of this application is respectfully requested.

Respectfully submitted,
Hale and Dorr, LLP

December 4, 2000


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- 1 -

PHENOTYPE MODIFYING GENETIC SEQUENCES

The present invention relates generally to nucleic acid molecules capable of modifying phenotypic traits in eukaryotic cells and in particular plant cells. The nucleic acid molecules of the present invention are referred to as "phenotype modifying genetic sequences" or "PMGSs" and may be used to increase and/or stabilise or otherwise facilitate expression of nucleotide sequences being expressed into a translation product or may be used to down regulate by, for example, promoting transcript degradation *via* mechanisms such as co-suppression. The PMGSs of the present invention are also useful in modulating plant physiological processes such as but not limited to resistance to plant pathogens, senescence, cell growth, expansion and/or division and the shape of cells, tissues and organs. One particularly useful group of PMGSs modulate starch metabolism and/or cell growth or expansion or division. Another useful group of PMGSs are involved in increasing and/or stabilising or otherwise facilitating expression of nucleotide sequences in eukaryotic cells such as plant cells and in particular the expression of therapeutically, agriculturally and economically important transgenes. The PMGSs may also be used to inhibit, reduce or otherwise down regulate expression of a nucleotide sequence such as a eukaryotic gene, including a pathogen gene, the expression of which, results in an undesired phenotype. The PMGSs of the present invention generally result, therefore, in the acquisition of a phenotypic trait or loss of a phenotypic trait.

20

Bibliographic details of the publications numerically referred to in this specification are collected at the end of the description.

The subject specification contains nucleotide and amino acid sequence information prepared using the programme PatentIn Version 2.0, presented herein after the bibliography. Each nucleotide or amino acid sequence is identified in the sequence listing by the numeric indicator <210> followed by the sequence identifier (e.g. <210>1, <210>2, etc). The length, type of sequence (DNA, protein (PRT), etc) and source organism for each nucleotide or amino acid sequence are indicated by information provided in the numeric indicator fields <211>, <212> and <213>, respectively. Nucleotide and amino acid sequences referred to in the specification are defined by the information provided in numeric indicator field <400> followed by the

- 2 -

sequence identifier (eg. <400>1, <400>2, etc).

Throughout this specification, unless the context requires otherwise, the word "comprise", or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a
5 stated element or integer or group of elements or integers but not the exclusion of any other element or integer or group of elements or integers.

Recombinant DNA technology is now an integral part of strategies to generate genetically modified eukaryotic cells. For example, genetic engineering has been used to develop varieties
10 of plants with commercially useful traits and to produce mammalian cells which express a therapeutically useful gene or to suppress expression of an unwanted gene. Transposons have played an important part in the genetic engineering of plant cells and some non-plant cells to provide *inter alia* tagged regions of genomes to facilitate the isolation of genes by recombinant DNA techniques as well as to identify important regions in plant genomes responsible for certain
15 physiological processes.

The maize transposon *Activator* (*Ac*) and its derivative *Dissociation* (*Ds*) was one of the first transposon systems to be discovered (1,2) and was used by Fedoroff *et al* (3) to clone genes. The behaviour of *Ac* in maize has been studied extensively and excision occurs in both somatic
20 and germline tissue. Studies have highlighted two important features of *Ac/Ds* for tagging. First, the transposition frequency and second, the preference of *Ac/Ds* for transposition into linked sites.

The use of the *Ac/Ds* system has been hampered by the difficulty of data interpretation. One
25 reason for this is the high activity of *Ac* in certain plants causing insertions at unlinked sites due to multiple transpositions, rather than a single event, from the T-DNA. This problem was addressed by Jones *et al* (4), Carroll *et al* (5) and others, and a two component *Ac/Ds* system was developed. In this system, *Ds* elements were made wherein the *Ac* transposase gene was replaced with a marker gene thereby rendering it non-autonomous. Separate *Ac* elements were
30 then made. Subsequently, T-DNA regions of binary vectors carrying either a *Ds* element or a stabilised *Activator* transposase gene (*sAc*) were constructed by Carroll *et al* (5) and Scofield

- 3 -

et al (6).

The *Ds* element contained a reporter gene (eg. *nos:BAR*) which was shown to be inactivated on crossing with plants carrying the *sAc* (5). This is referred to as transgene silencing. It has been
5 shown that transgene silencing is a more general phenomenon in transgenic plants (7, 8, 9). Many different types of transgene silencing have now been reported in the literature and include: co-suppression of a transgene and a homologous endogenous plant gene (10), inactivation of ectopically located homologous transgenes in transgenic plants (7), the silencing of transgenes leading to resistance to virus infection (11) and inactivation of transgenes inserted in maize
10 transposons in transgenic tomato (5).

Gene silencing undoubtedly reflects mechanisms of great importance in the understanding of plant gene regulation. It is of particular importance because it represents a severe obstacle to stable and high level expression of economically important transgenes (7).

15

In work leading up to the present invention, the inventors sought to identify regulatory mechanisms involved in controlling expression of phenotypic traits in eukaryotic cells and in particular plant cells including modulating plant physiological processes, preventing or otherwise reducing gene silencing and/or facilitating increased and/or stabilized gene expression in
20 eukaryotic cells such as plant cells. In accordance with the present invention, the subject inventors have identified and isolated phenotype modifying genetic sequences referred to herein as "PMGSs" which are useful in modifying expression of nucleotide sequences in eukaryotic cells such as plant cells.

25 One aspect of the present invention is predicated in part on the elucidation of the molecular basis of transposase-mediated silencing of genetic material located within a transposable element. Although, in accordance with the present invention, the molecular basis of gene silencing has been determined with respect to plant selectable marker genes within the *Ds* element of the *Ds/Ac* maize transposon system, the present invention clearly extends to the silencing of any
30 nucleotide sequence and in particular a transgene and to mechanisms for alleviating gene silencing. In accordance with the present invention, nucleotide sequences have been identified

- 4 -

which alleviate gene silencing and which increase or stabilise expression of genetic material. Furthermore although the present invention is particularly exemplified in relation to plants, it extends to all eukaryotic cells such as cells from mammals, insects, yeasts, reptiles and birds.

- 5 Accordingly, an aspect of the present invention provides an isolated nucleic acid molecule comprising a sequence of nucleotides which increases or stabilizes expression of a second nucleotide sequence inserted proximal to said first mentioned nucleotide sequence.

The term "proximal" is used in its most general sense to include the position of the second
10 nucleotide sequence near, close to or in the genetic vicinity of the first mentioned nucleotide sequence. More particularly, the term "proximal" is taken herein to mean that the second nucleotide sequence precedes, follows or is flanked by the first mentioned nucleotide sequence. Preferably, the second nucleotide sequence is within the first mentioned nucleotide sequence and, hence, is flanked by portions of the first nucleotide sequence. Generally, the second nucleotide
15 sequence is flanked by up to about 10 kb either side of first mentioned nucleotide sequence, more preferably up to about 5 kb, even more preferably up to about 1 kb either side of said first mentioned nucleotide sequence and even more preferably up to about 10 bp to about 1 kb.

Another aspect of the present invention is directed to an isolated nucleic acid molecule
20 comprising a sequence of nucleotides which stabilises, increases or enhances expression of a second nucleotide sequence inserted into, flanked by, adjacent to or otherwise proximal to the said first mentioned nucleotide sequence.

The second mentioned nucleotide sequence is preferably an exogenous nucleotide sequence
25 meaning that it is either not normally indigenous to the genome of the recipient cell or has been isolated from a cell's genome and then re-introduced into cells of the same plant or animal, same species of plant or animal or a different plant or animal. More preferably, the exogenous sequence is a transgene or a derivative thereof which includes parts, portions, fragments and homologues of the gene.

30

The first mentioned nucleotide sequence described above is referred to herein as a "phenotype

- 5 -

modulating genetic sequence" or "PMGS" since it functions to and is capable of increasing or stabilizing expression of an exogenous nucleotide sequence such as a transgene or its derivatives. This in turn may have the effect of alleviating silencing of an exogenous nucleotide sequence or may promote transcript degradation such as *via* co-suppression. The latter is particularly useful
5 as a defence mechanism against pathogens such as but not limited to plant viruses and animal pathogens.

Accordingly, another aspect of the present invention relates to a PMGS comprising a sequence of nucleotides which increases, enhances or stabilizes expression of a second nucleotide sequence
10 inserted within, adjacent to or otherwise proximal to said PMGS.

PMGSs may or may not be closely related at the nucleotide sequence level although they are closely functionally related in modulating phenotypic expression. Particularly preferred PMGSs are represented in <400>1; <400>2; <400>3; <400>4; <400>5; <400>6; <400>7; <400>8;
15 <400>9; <400>10; <400>11; <400>12; <400>13; <400>14; <400>15; <400>16; <400>17;
<400>18; <400>19; <400>20; <400>21; <400>22; <400>23; <400>24; <400>25; <400>26;
<400>27; <400>28; <400>29; <400>30 and/or <400>31 as well as nucleotide sequences having at least about 25% similarity to any one of these sequences after optimal alignment with another sequence of a sequence capable of hybridizing to any one of these sequences under low
20 stringency conditions at 42°C.

The term "similarity" as used herein includes exact identity between compared sequences at the nucleotide or amino acid level. Where there is non-identity at the nucleotide level, "similarity" includes differences between sequences which result in different amino acids that are nevertheless
25 related to each other at the structural, functional, biochemical and/or conformational levels. Where there is non-identity at the amino acid level, "similarity" includes amino acids that are nevertheless related to each other at the structural, functional, biochemical and/or conformational levels. In a particularly preferred embodiment, nucleotide and sequence comparisons are made at the level of identity rather than similarity. Any number of programs are available to compare
30 nucleotide and amino acid sequences. Preferred programs have regard to an appropriate alignment. One such program is Gap which considers all possible alignment and gap positions

- 6 -

and creates an alignment with the largest number of matched bases and the fewest gaps. Gap uses the alignment method of Needleman and Wunsch (24). Gap reads a scoring matrix that contains values for every possible GCG symbol match. GAP is available on ANGIS (Australian National Genomic Information Service) at website <http://mell.angis.org.au>. Another particularly
 5 useful programme is "tBLASTx" (25).

Reference herein to a low stringency at 42°C includes and encompasses from at least about 0% v/v to at least about 15% v/v formamide and from at least about 1M to at least about 2M salt for hybridisation, and at least about 1M to at least about 2M salt for washing conditions. Alternative
 10 stringency conditions may be applied where necessary, such as medium stringency, which includes and encompasses from at least about 16% v/v to at least about 30% v/v formamide and from at least about 0.5M to at least about 0.9M salt for hybridisation, and at least about 0.5M to at least about 0.9M salt for washing conditions, or high stringency, which includes and encompasses from at least about 31% v/v to at least about 50% v/v formamide and from at least
 15 about 0.01M to at least about 0.15M salt for hybridisation, and at least about 0.01M to at least about 0.15M salt for washing conditions.

Accordingly, another aspect of the present invention provides a PMGS comprising the nucleotide sequence:

20 <400>1; <400>2; <400>3; <400>4; <400>5; <400>6; <400>7; <400>8; <400>9;
 <400>10; <400>11; <400>12; <400>13; <400>14; <400>15; <400>16; <400>17;
 <400>18; <400>19; <400>20; <400>21; <400>22; <400>23; <400>24; <400>25;
 <400>26; <400>27; <400>28; <400>29; <400>30 and/or <400>31; or a sequence
 25 having at least 25% similarity after optimal alignment of said sequence to any one of the
 above sequences or a sequence capable of hybridizing to any one of the above sequences
 under low stringency conditions at 42°C.

Alternative percentage similarities or identities include at least about 30%, 40%, 50%, 60%, 70%, 80%, 90% or above.

30

A further aspect of the present invention is predicated on transposon-mediated tagging of tomato

- 7 -

plants which was shown to result in the identification of mutants exhibiting altered physiological properties. In particular, the insertion of a transposon in close proximity to the α -amylase gene resulted in continued or modified expression of the α -amylase gene past the initial development stage of the plant. In wild-type plants, negative regulatory mechanisms which may include

5 methylation result in the non-expression of the α -amylase gene. In accordance with this aspect of the present invention, modified expression of the α -amylase gene, post or after initial developmental stage, results in physiological attributes such as altered senescence, altered resistance to pathogens, modification of the shape of plant cells, tissues and organs and altered cell growth or expansion or division characteristics. It is proposed, in accordance with the

10 present invention, that the altered physiological phenotype is due to modified starch metabolism by the continued or modified expression of the α -amylase gene. In particular, increased or modified expression of the α -amylase gene or otherwise continued or altered expression of the α -amylase gene post initial development results in cell death, i.e. cell apoptosis, but also induces or promotes resistance to pathogens.

15

Accordingly, another aspect of the present invention contemplates a method for controlling physiological processes in a plant said method comprising modulating starch metabolism in cells of said plant.

20 More particularly, the present invention is directed to a method of inducing a physiological response in a plant said method comprising inhibiting or facilitating starch metabolism in cells of said plant after the initial developmental stage.

This aspect of the present invention is exemplified herein with respect to the effects of starch

25 metabolism in tomato plants. This is done, however, with the understanding that the present invention extends to the manipulation of starch metabolism in any plant such as flowering plants, crop plants, ornamental plants, vegetable plants, native Australian plants as well as Australian and non-Australian trees, shrubs and bushes. The preferred means of modulating physiological process is *via* the introduction of a PMGS. In this context, a nucleotide sequence encoding an

30 α -amylase gene or a portion or derivative thereof or a complementary sequence thereto, for example, would be regarded as a PMGS, as would a nucleotide sequence which promotes

- 8 -

increased and/or stabilised expression of a target gene.

The term "expression" is conveniently determined in terms of desired phenotype. Accordingly, the expression of a nucleotide sequence may be determined by a measurable phenotypic change
5 involving transcription and translation into a proteinaceous product which in turn has a phenotypic effect or at least contributes to a phenotypic effect. Alternatively, expression may involve induction or promotion of transcript degradation such as during co-suppression resulting in inhibition, reduction or otherwise down-regulation of translatable product of a gene. In the latter case, the nucleic acid molecules of the present invention may result in production of
10 sufficient transcript to induce or promote transcript degradation. This is particularly useful if a target endogenous gene is to be silenced or if the target sequence is from a pathogen such as a virus, bacterium, fungus or protozoan. In all instances "expression" is modulated but the result is conveniently measured as a phenotypic change resulting from increased or stabilised production of transcript thereby resulting in increased or stabilised translation product, or
15 increased or enhanced transcript production resulting in transcript degradation leading to loss of translation product (such as in co-suppression).

The term "modulating" is used to emphasise that although transcription may be increased or stabilised, this may have the effect of either permitting stabilised or enhanced translation of a
20 product or inducing transcription degradation such as *via* co-suppression.

Physiological responses and other phenotypic changes contemplated by the present invention include but are not limited to transgene expression, cell apoptosis, senescence, pathogen resistance, cell, tissue and organ shape and plant growth as well as cell growth, expansion and/or
25 division.

In a particularly preferred embodiment, starch metabolism is stimulated, promoted or otherwise enhanced or inhibited by manipulating levels of an amylase and this in turn may lead to *inter alia* senescence or apoptosis as well as resistance to pathogens. Reference to "amylase" includes any
30 amylase associated with starch metabolism including α -amylase and β -amylase. This aspect of the present invention also includes mutant amylases. In addition, the manipulation of levels of

- 9 -

amylase may be by modulating endogenous levels of a target plant's own amylase, or an exogenous amylase gene or antisense, co-suppression or ribozyme construct may be introduced into a plant. The exogenous amylase gene may be from another species or variety of plant or from the same species or variety or from the same plant. The present invention extends to
5 recombinant amylases and derivative amylases including fusion molecules, hybrid molecules and amylases with altered substrate specifications and/or altered regulation. Any molecule capable of acting as above including encoding an α -amylase is encompassed by the term "PMGS".

According to another aspect of the present invention there is provided a method of inducing a
10 physiological response in a plant such as but not limited to inducing resistance to a plant pathogen, enhancing or delaying senescence, modifying cell growth or expansion or division or altering the shape of cells, tissues or organs, said method comprising modulating synthesis of an amylase or functional derivative thereof for a time and under conditions sufficient for starch metabolism to be modified.

15 Preferably, the amylase is α -amylase.

The manipulation of amylase levels may also be by manipulating the promoter for the amylase gene. Again, the introduction of a PMGS may achieve such manipulation. Alternatively, an
20 exogenous amylase gene may be introduced or an exogenous promoter designed to enhance expression of the endogenous amylase gene. A PMGS extends to such exogenous amylase genes and promoters.

One group of PMGSs of the present invention were identified following transposon mutagenesis
25 of plants with the *Ds*/*Ac* transposon system. The *Ds* element carries a reporter gene (*nos:BAR*) which is normally silenced upon exposure to the transposase gene. In a few cases, plants are detected in which *nos:BAR* expression is not silenced. In accordance with the present invention, it has been determined that the *Ds* element inserts within, adjacent to or otherwise proximal with a PMGS which results in increased or stabilized expression of the *nos:BAR*. In other words, the
30 PMGS facilitates expression of a gene and preferably an exogenous gene or a transgene. This in turn may result in a gene product being produced or induction of transcript degradation such

- 10 -

as *via* co-suppression.

The PMGSs of the present invention are conveniently provided in a genetic construct.

- 5 Accordingly, another aspect of the present invention contemplates a genetic construct comprising a PMGS as herein defined and means to facilitate insertion of a nucleotide sequence within, adjacent to or otherwise proximal with said PMGS.

The term "genetic construct" is used in its broadest sense to include any recombinant nucleic acid
10 molecule and includes a vector, binary vector, recombinant virus and gene construct.

The means to facilitate insertion of a nucleotide sequence include but are not limited to one or more restriction endonuclease sites, homologous recombination, transposon insertion, random insertion and primer and site-directed insertion mutagenesis. Preferably, however, the means is
15 one or more restriction endonuclease sites. In the case of the latter, the nucleic acid molecule is cleaved and another nucleotide sequence ligated into the cleaved nucleic acid molecule.

Preferably, the inserted nucleotide sequence is operably linked to a promoter in the genetic construct.

20

According to this embodiment, there is provided a genetic construct comprising an PMGS as herein defined and means to facilitate insertion of a nucleotide sequence within, adjacent to or otherwise proximal with said PMGS and operably linked to a promoter.

- 25 Conveniently, the genetic construct may include or comprise a transposable element such as but not limited to a modified form of a *Ds* element. A modified form of a *Ds* element includes a *Ds* portion comprising a PMGS and a nucleotide sequence such as but not limited to a reporter gene, a gene conferring a particular trait on a plant cell or a plant regenerated from said cell or a gene which will promote co-suppression of an endogenous gene.

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Another aspect of the present invention contemplates a method of increasing or stabilising

- 11 -

expression of a nucleotide sequence or otherwise preventing or reducing silencing of a nucleotide sequence or promoting transcription degradation of an endogenous gene in a plant or animal or cells of a plant or animal, said method comprising introducing into said plant or animal or plant or animal cells said nucleotide sequence flanked by, adjacent to or otherwise proximal with a
5 PMGS.

In an alternative embodiment, there is provided a method of inhibiting, reducing or otherwise down-regulating expression of a nucleotide sequence in a plant or animal or cells of a plant or animal, said method comprising introducing into said plant or animal or plant or animal cells the
10 nucleotide sequence flanked by, adjacent to or otherwise proximal with a PMGS.

Yet another aspect of the present invention provides a transgenic plant or animal carrying a nucleotide sequence flanked by, adjacent to or otherwise proximal to a PMGS. As a consequence of the PMGS, the expression of the exogenous nucleotide sequence is increased
15 or stabilised resulting in expression of a phenotype or loss of a phenotype.

Although not intending to limit the present invention to any one theory or mode of action, one group of PMGSs is proposed to comprise a methylation resistance sequence. A methylation resistance sequence is one which may de-methylate and/or prevent or reduce methylation of a
20 nucleotide sequence such as a target nucleotide sequence.

The present invention further extends to a transgenic plant or a genetically modified plant exhibiting one or more of the following characteristics:

- 25 (i) an amylase gene not developmentally silenced;
- (ii) an amylase gene capable of constitutive or inducible expression;
- (iii) a mutation preventing silencing of an amylase gene;
- (iv) a nucleic acid molecule proximal to an amylase gene and which substantially prevents methylation of said amylase gene;
- 30 (v) decreased amylase gene expression; and/or
- (vi) a genetically modified amylase allele(s).

- 12 -

Reference herein to a "gene" is to be taken in its broadest context and includes:

- (i) a classical genomic gene consisting of transcriptional and/or translational regulatory sequences and/or a coding region and/or non-translated sequences (i.e. introns, 5'- and 3'-untranslated sequences)
- 5 (ii) mRNA or cDNA corresponding to the coding regions (i.e. exons) optionally comprising 5'- or 3'-untranslated sequences of the gene; or
- (iii) an amplified DNA fragment or other recombinant nucleic acid molecule produced *in vitro* and comprising all or a part of the coding region and/or 5'- or 3'- untranslated sequences of the gene.

10

The term "proximal" is used in its most general sense to include the position of the amylase gene near, close to or in the genetic vicinity of the nucleic acid molecule referred to in part (iv) above. More particularly, the term "proximal" is taken herein to mean that the amylase gene precedes, follows or is flanked by the nucleic acid molecule. Preferably, the amylase is within the nucleic acid molecule and, hence, is flanked by portions of the nucleic acid molecule. Generally, the amylase gene is flanked by up to about 100 kb either side of the nucleic acid molecule, more preferably up to about 10 kb, even more preferably to about 1 kb either side of the nucleic acid molecule and even more preferably up to about 10 bp to about 1 kb.

15
20 Accordingly, another aspect of the present invention is directed to a PMGS comprising a sequence of nucleotides which stabilises, increases or enhances expression of an amylase gene inserted into, flanked by, adjacent to or otherwise proximal to the said nucleic acid molecule.

In an alternative embodiment, the present invention contemplates a PMGS comprising a sequence of nucleotides which inhibits, decreases or otherwise reduces expression of an amylase gene inserted into, flanked by, adjacent to or otherwise proximal to the said nucleic acid molecule.

The term "expression" is conveniently determined in terms of desired phenotype. Accordingly, the expression of a nucleotide sequence may be determined by a measurable phenotypic change such as resistance to a plant pathogen, enhanced or delayed senescence, altered cell growth or

- 13 -

expansion or division or altered cell, tissue or organ shape.

The PMGS of this aspect of the present invention functions to and is capable of modulating expression of an amylase gene or its derivatives. The term "modulating" includes increasing or 5 stabilising expression of the amylase gene or decreasing or inhibiting the amylase gene. The PMGS may be a co-suppression molecule, ribozyme, antisense molecule, an anti-methylation sequence, a methylation-inducing sequence and/or a negative regulatory sequence, amongst other molecules.

10 Accordingly, another aspect of the present invention relates to a PMGS comprising a sequence of nucleotides which increases, enhances or stabilizes expression of an amylase gene inserted within, adjacent to or otherwise proximal with said PMGS.

In an alternative embodiment, the present invention provides a PMGS comprising a sequence of 15 nucleotides which inhibits, decreases or otherwise reduces expression of an amylase gene inserted within, adjacent to or otherwise proximal with said PMGS.

Another aspect of the present invention contemplates a genetic construct comprising a PMGS as herein defined and means to facilitate insertion of a nucleotide sequence within, adjacent to 20 or otherwise proximal with said PMGS wherein said nucleotide sequence encodes an amylase or functional derivative thereof.

Preferably, the amylase gene sequence is operably linked to a promoter in the genetic construct.

25 According to this embodiment, there is provided a genetic construct comprising an PMGS as herein defined and means to facilitate insertion of a nucleotide sequence within, adjacent to or otherwise proximal with said PMGS and operably linked to a promoter wherein said nucleotide sequence encodes an amylase or functional derivative thereof.

30 Conveniently, the genetic construct may be a transposable element such as but not limited to a modified form of a *Ds* element. A modified form of a *Ds* element includes a *Ds* portion

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- 14 -

comprising a PMGS and a nucleotide sequence such as but not limited to a reporter gene and a gene encoding an amylase.

Another aspect of the present invention contemplates a method of increasing or stabilising
5 expression of a nucleotide sequence encoding an amylase or otherwise preventing or reducing silencing of a nucleotide sequence encoding an amylase in a plant cell said method comprising introducing into said plant or plant cells said nucleotide sequence encoding an amylase flanked by, adjacent to or otherwise proximal with a PMGS.

10 In an alternative embodiment, the present invention provides a method of inhibiting, decreasing or otherwise reducing expression of a nucleotide sequence encoding an amylase in a plant cell said method comprising introducing into said plant or plant cells said nucleotide sequence encoding an amylase flanked by, adjacent to or otherwise proximal with a PMGS.

15 Yet another aspect of the present invention provides a transgenic plant carrying a nucleotide sequence encoding an amylase flanked by, adjacent to or otherwise proximal with a PMGS.

Still a further aspect of the present invention provides nucleic acid molecules encoding apoptotic peptides, polypeptides or proteins or nucleic acid molecules which themselves confer apoptosis.

20 One example of an apoptotic nucleic acid molecule is a molecule capable of inducing or enhancing amylase synthesis. Other molecules are readily identified, for example, by a differential assay. In this example, nucleic acid sequences (e.g. DNA, cDNA, mRNA) are isolated from wild type plants and mutant plants which exhibit enhanced or modified amylase gene expression. The differential assay seeks to identify DNA or mRNA molecules in the mutant
25 plant or wild type plant which are absent in the respective wild type plant or mutant plant. Such nucleic acid molecules are deemed putative apoptosis-inducing or apoptosis-inhibiting genetic sequences. These molecules may have utility in regulating beneficial physiological processes in plants.

30 Another aspect of the present invention contemplates a method for controlling physiological processes in a plant said method comprising modulating cell shape and/or expansion and/or

- 15 -

division or growth in said plant.

More particularly, the present invention is directed to a method of inducing a physiological response in a plant said method comprising enhancing or facilitating the manipulation of cell shape and/or expansion or division or growth in said plant.

This aspect of the present invention is based on the detection of a *Ds* insertion in the *Dem* gene in plants. The *Dem* gene is highly expressed in shoot and root apices. The resulting mutation results in genetically-modified palisade tissue. Mutant lines exhibiting altered cell shape or expansion or division or growth are selected and, in turn, further lines exhibiting such beneficial characteristics as increased levels of photosynthetic activity are obtainable. The two basic processes which contribute to plant shape and form are cell division and cell expansion or growth. By somatically tagging *Dem*, the inventors have demonstrated that *Dem* is required for expansion or division or growth of palisade and adaxial epidermal cells during leaf morphogenesis. Therefore, the primary role of the DEM protein in plant morphogenesis in general is in cell expansion or division or growth rather than the orientation or promotion of cell division.

Accordingly, another aspect of the present invention provides a method of inducing a physiological response in a plant such as but not limited to inducing resistance to a plant pathogen, enhancing or delaying senescence, modifying cell growth or expansion or division or altering the shape of cells, tissues or organs, said method comprising modulating expression of the *Dem* gene.

Still yet another aspect of the present invention relates to a transgenic plant or a genetically modified plant exhibiting one or more of the following properties:

- (i) a *Dem* gene not developmentally silenced;
- (ii) a *Dem* gene capable of constitutive or inducible expression;
- (iii) a mutation preventing silencing of the *Dem* gene;
- (iv) a nucleic acid molecule proximal to the *Dem* gene and which substantially prevents

- 16 -

- methylation of said *Dem* gene or demethylates the *Dem* gene;
- (v) decreased *Dem* gene expression; and/or
 - (vi) a genetically modified *Dem* allele(s).

5 The present invention is further directed to the putative *Dem* promoter and its derivatives. The *Dem* promoter is approximately 700 bases in length extending upstream from the ATG start site. The nucleotide positions of putative *Dem* promoter are nucleotide 3388 to 4096 (Figure 5). The nucleotide sequence of the *Dem* promoter is set forth in <400>8.

10 Yet another aspect of the present invention is directed to a mutation in or altered expression of a putative patatin gene in tomato or other plants. The patatin gene is referred to herein as "putative" as it exhibits homology to the potato patatin gene.

Accordingly, another aspect of the present invention contemplates a method for controlling
15 physiological processes in a plant said method comprising modulating C metabolism in cells of said plant.

More particularly, the present invention is directed to a method of inducing a physiological response in a plant said method comprising enhancing or facilitating C metabolism in cells of said
20 plant.

Another aspect of the present invention provides a method of inducing a physiological response in a plant such as but not limited to inducing resistance to a plant pathogen, enhancing or delaying senescence, modifying cell growth or expansion or division or altering the shape of cells,
25 tissues or organs, said method comprising modulating expression of a putative patatin gene or a functional derivative thereof.

Still yet another aspect of the present invention relates to a transgenic plant or a genetically modified plant exhibiting one or more of the following properties:

30

- (i) a putative patatin gene not developmentally silenced;

- 17 -

- (ii) a putative patatin gene capable of constitutive or inducible expression;
- (iii) a mutation preventing silencing of a putative patatin gene;
- (iv) a nucleic acid molecule proximal to a putative patatin gene and which substantially prevents methylation of said putative patatin gene or demethylates said putative patatin gene;
- (v) decreased putative patatin gene expression; and/or
- (vi) a genetically modified patatin allele(s).

Reference herein to "genetically modified" genes such as an altered amylase, *Dem* or patatin allele includes reference to altered plant development genes. The present invention is particularly directed to alteration of alleles which leads to economically physiologically or agriculturally beneficial traits.

The present invention further provides for an improved transposon tagging system.

One system employs a modified *Ds* element which now carries a PMGS.

Accordingly, another aspect of the present invention is directed to an improved transposon tagging system, said system comprising a transposable element carrying a nucleotide sequence flanked by, adjacent to or otherwise proximal with a PMGS.

Another new system employs the *Dem* gene or its derivatives as an excision marker. Reference to "derivatives" includes reference to mutants, parts, fragments and homologues of *Dem* including functional equivalents. The *Dem* gene is required for cotyledon development and shoot and root meristem function. Stable *Ds* insertion mutants of *Dem* germinate but fail to develop any further. However, unstable mutants in the *Dem* locus result in excision of the *Ds* element and reversion of the *Dem* locus to wild-type, thereby restoring function to the shoot meristem. In accordance with the present invention, the new system enables selection for transposition.

In accordance with the improved method, transposition is initiated by crossing a *Ds*-containing line with a stabilized *Ac* (*sAc*)-containing line. The *Ds*-containing line is heterozygous for a *Ds*

- 18 -

insertion in the *Dem* gene and the *sAc* line is heterozygous for a stable mutation in the *Dem* gene. A particularly useful mutant in the *Dem* gene is a stable frameshift mutation. Both of the *Ds*- and *sAc*- containing plant lines are wild-type due to the recessive nature of the *Ds* insertion and mutant alleles. The F₁ progeny derived from crossing the *Ds* and *sAc* lines segregate at a ratio of 3 wild-types to 1 mutant. Because the *sAc* is linked to the frameshift *dem* allele, almost all of the F₁ mutants also inherit the transposase gene and can undergo somatic reversion. These revertant individuals have abnormal cotyledons, but *Ds* excision from the *Dem* gene restores function to the shoot apical meristem. Each somatic revertant represents an independent transposition event from the *Dem* locus. By screening for expression of a gene resident on the *Ds* element (e.g. *nos:BAR*), the identification of PMGSs is readily determined.

The present invention also provides *in vivo* bioassays for expressed transgenes. The bioassays identify nucleotide sequences which prevent transgene silencing.

In one aspect, the plant expression vector pZorZ carries a firefly luciferase reporter gene (*luc*), under the control of the *Osa* promoter (12). After bombardment, the gene is expressed in embryogenic sugarcane callus. However, it becomes completely silenced upon plant regeneration. The silencing appears to be correlated with methylation of the transgene. Genetic sequences flanking reactivated *nos:BAR* insertions are inserted into modified forms of the pZorZ expression vector. These pZorZ constructs are then used with a transformation marker to transform sugarcane in order to test whether the plant sequences are capable of alleviating silencing of the *luc* gene upon plant regeneration. Restriction endonuclease fragments capable of alleviating silencing of the *luc* gene are subject to deletion analysis and smaller fragments are subcloned into modified pZorZ expression vectors to define the sequences more accurately (Figure 7).

In another aspect, a plant expression vector is constructed for testing the PMGSs in *Agrobacterium*-transformed *Arabidopsis*. PMGSs are placed upstream of the *nos:luc* or *nos:gus* gene linked to a transformation marker and used to test whether PMGSs stabilise expression of the *nos:luc* or *nos:gus* gene in *Arabidopsis*.

- 19 -

These aspects of the present invention are clearly extendable to assays using other plants and the present invention contemplates the subject assay and plant expression vector for use in a range of plants in addition to sugar cane.

- 20 -

The present invention is further described by the following non-limiting Figures and Examples.

In the Figures:

- 5 **Figure 1** is a diagrammatic representation showing T-DNA regions of binary vectors carrying a *Ds* element (SLJ1561) of the transposable gene (SLJ10512)[5]. The *Ds* element carries a *nos:BAR* gene and is inserted into a *nos:SPEC* excision marker. The transposon gene *sAc* is linked to a 2':*Gus* reporter gene.
- 10 **Figure 2** is a diagrammatic representation showing an experimental strategy for generating tomato lines carrying transposed *Ds* elements (5). F1 plants heterozygous for both the *Ds* and *sAc* T-DNAs are test-crossed to produce TC₁ progeny. The TC₁ progeny are then screened for lines carrying a transposed *Ds* and a reactivated *nos:BAR* gene.
- 15 **Figure 3** is a representation showing methylation of a genetically engineered *Ds* transposon in transgenic tomato. Two separate Southern analyses were conducted on 7 individual genotypes; genomic DNA was extracted from leaf tissue (5). The restriction enzymes and probes (shaded boxes) used are shown on the figure. Lanes: 1. Non transformed (i.e. no *Ds* or *nos:BAR* gene), 2. 1561E which carries an active *nos:BAR* gene (due to the fact that it has never been exposed
- 20 to the transposase gene), 3-6. Four tomato lines that carry silent *nos:BAR* genes, 7. UQ406 which carries an active *nos:BAR* gene due to insertion of the *Ds* in the α -amylase promoter. The enzymes *Sst*II (abbreviated Ss) and *Not*I (abbreviated Nt) are methylation sensitive, whereas *Bst*YI (abbreviated Bs) and *Eco*RI (abbreviated RI) are not. The expected size fragment for unmethylated DNA is indicated by the arrow; larger fragments (as in the silent lines) indicate
- 25 methylation of the DNA at the *Sst*II or *Not*I sites.

Figure 4 is a representation showing a sequence comparison between the potato α -amylase promoter (15) <400>2 and the tomato α -amylase promoter <400>1. The location of the UQ406 insertion is shown.

30

Figure 5 is a representation of a nucleotide sequence <400>3 of tomato genomic DNA from 651

- 21 -

bp upstream of the *Ds* insertion (acttcgag: underlined) in UQ406 to the beginning of the *Dem* coding sequence, followed by the *Dem* cDNA sequence from the ATG start site at base pair 4097 (sequence underlined). The target sequences of the *Ds* insertion in UQ406 and *Dem* ATG are underlined. The *Dem* cDNA sequence is shown in italics and underlined. The putative *Dem* promoter begins at nucleotide 3388 and ends just immediately prior to the ATG, i.e. at position 4096 <400>8.

Figure 6 is a diagrammatic representation showing an improved transposon tagging strategy using *Dem* as excision marker. The *sAc* and *Ds* parent lines are represented by the upper left and right boxes, respectively. Because the *sAc* is linked to the *dem* mutant +7 allele, somatic revertants can theoretically occur at about the frequency of 1 out of 4 in the F1 progeny. Each somatic revertant represents an independent transposition event. Chr4, chromosome 4 of tomato.

Figure 7 is a diagrammatic representation showing construction of pUQ expression vectors from the pZorZ vector (12; see Example 9).

Figure 8 is a representation of somatic tagging of the *Dem* locus. a. Diagrammatic representation of the STD (somatic tagging of *Dem*) genotype. *dem*+7 is a stable frameshift mutant of *Dem*, TPase represents a T-DNA 3 centiMorgans (cM) from *Dem*, carrying the *Ac* transposase and a GUS reporter gene. The transposase is required for *Ds* transposition. b. Location of stably inherited (shaded) and somatic (open) *Ds* insertions in the *Dem* locus and an upstream α -amylase gene. The α -amylase gene is in the same orientation as *Dem*. Coding sequences plus introns are shown as boxes and the dark section of the *Dem* locus represents an intron. All of the 8 somatic insertions shown in the figure were associated with palisade deficient sectors. The genomic region represented in b has been sequenced (see Figure 5; please note that the intron in the *dem* locus is not included in this sequence). c. Mutant *dem* sectors lack palisade cells (p, palisade cells, s, spongy mesophyll, g, wild-type dark green sectors, and lg, mutant light green sectors).

Figure 9 shows PCR on intact tissue of *dem* sectors. M, 1 kb ladder. 1-10, unique *Ds* insertions

- 22 -

in *Dem* detected by PCR. Intact leaf tissues (mutant somatic sectors) were used as template in the PCR. PCR with oligonucleotide primers facing out of *Ds* and in the *Dem* coding sequence amplified unique fragments from each mutant sector, thereby confirming that the sectors shown in Figure 8 are indeed mutant *dem* sectors.

5

Figure 10 is a diagrammatic representation of the genetic derivation of plants containing independent somatic *dem* alleles. Somatic revertants were generated by crossing plants heterozygous for the *dem*⁺ mutant allele linked to transposase (sAc,GUS) and plants heterozygous for the *dem*^{Ds} mutant allele. Revertant seedlings were selfed and GUS⁺ individuals
10 were identified. From 150 somatic revertants, four independent lines were produced carrying hundreds of independent *dem* alleles.

Figure 11 is a photographic representation showing a multicellular palisade mutant allele of the *Dem* locus. At the single-cell embryo stage, the plant possessing the multicellular palisade sector
15 shown carried a transposase gene and was heterozygous for a mutant frameshift allele and a wild-type allele of the *Dem* locus. During development, however, mutant *dem* sectors were produced due to the insertion of a *Ds* element into the wild-type allele. Wild-type palisade tissue is essentially composed of single long columnar cells. Some mutant sectors (due to *Ds* insertion) totally lack palisade cells (refer to the figure), whereas other mutant sectors have multicellular
20 palisade tissue composed of small, non-columnar cells.

Figure 12 is a representation of the nucleotide sequence upstream of the UQ11 *Ds* insertion. The UQ11 *Ds* insertion resulted from transposition of the *Ds* back into the T-DNA. Nucleotide 1 is the first nucleotide upstream of *Ds* (containing an active *nos:BAR* gene). Nucleotide 1 to
25 295 correspond to *Agrobacterium* sequence from the right border of tomato transformant 1561E (5), the starting position of the *Ds* before loding in the *Dem* locus. Nucleotides 296 to 886 (in italics) correspond to tomato genomic DNA flanking the T-DNA insertion in 1561E. Note the *Bam*HI/*Bcl*II fusion sequence (TGACTC) and the *Hpa*I site (GTTAAC), both underlined in the figure immediately upstream of the insertion site. The putative PMGSs of UQ11 reside in the
30 right border of the T-DNA (nucleotide 1 to 295), and/or the flanking tomato DNA (nucleotide 296 to 886), or further upstream.

- 23 -

Figure 13 is a diagrammatic representation of the T-DNA construct SLJ 1561 used to transform tomato to produce 1561E(5), and the location of the *Ds* element in UQ11. The *Ds* element in UQ11 is slightly closer to the right border (RB) and in the opposite orientation compared to the *Ds* element in 1561E.

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- 24 -

TABLE 1
SUMMARY OF SEQUENCE (SEQ) IDENTIFIERS

	SEQ IDENTIFIER	DESCRIPTION
5	<400>1	Nucleotide sequence of tomato α -amylase gene promoter
	<400>2	Nucleotide sequence of potato α -amylase gene promoter
	<400>3	Nucleotide sequence of genomic DNA upstream of <i>Dem</i> gene followed by <i>Dem</i> cDNA coding sequence in tomato line UQ406
	<400>4	Nucleotide sequence upstream of <i>Ds</i> insertion (ie. upstream of the <i>nos:BAR</i> gene) in a putative patatin gene in tomato line UQ12
	<400>5	Nucleotide sequence downstream of <i>Ds</i> insertion (ie. downstream of the <i>nos:BAR</i> gene) in a putative patatin gene in tomato line UQ12
10	<400>6	Nucleotide sequence of portion of putative tomato (UQ12) homologue of potato patatin gene
	<400>7	Nucleotide sequence of portion of potato patatin gene having homology to <400>6
	<400>8	Nucleotide sequence of putative <i>Dem</i> promoter in UQ406
	<400>9	Nucleotide sequence upstream of <i>Ds</i> insertion in tomato mutant UQ11
	<400>10	Putative PMGS from UQ11 corresponding to nucleotides 1 to 295 of <400>9
15	<400>11	Putative PMGS from UQ11 corresponding to nucleotide 296 to 836 of <400>9

09701926-060101

- 25 -

	<400>12	Nucleotide sequence of an upstream portion of putative sucrose synthase gene in tomato (UQ14) containing PMGS
	<400>13	Nucleotide sequence of an downstream portion of putative sucrose synthase gene in tomato (UQ14) containing PMGS
	<400>14	Putative PMGS from UQ14
	<400>15	Partial nucleotide sequence of 3' untranslated region from potato sucrose synthase
5	<400>16	PMGS from UQ14
	<400>17	Partial nucleotide sequence of 3' untranslated region from potato sucrose synthase
	<400>18	PMGS from UQ14
	<400>19	Partial nucleotide sequence of 3' untranslated region from potato lactate dehydrogenase (LDH)
	<400>20	PMGS from UQ14
10	<400>21	Partial nucleotide sequence of intron II of tomato phytochrome B1 (PHYB1)
	<400>22	PMGS from UQ14
	<400>23	Partial nucleotide sequence of 3' untranslated region from potato sucrose synthase
	<400>24	PMGS from UQ14
	<400>25	Partial nucleotide sequence of 3' untranslated region of potato lactate dehydrogenase (LDH)
15	<400>26	PMGS from UQ14
	<400>27	Partial nucleotide sequence of intron I of potato cytosolic pyruvate kinase (CPK)
	<400>28	PMGS from UQ14

- 26 -

	<400>29	Partial nucleotide sequence downstream of <i>Brassica napus</i> 1.7S seed storage protein, napin (<i>napA</i>)
	<400>30	PMGS from UQ14
	<400>31	Partial nucleotide sequence of 3' untranslated region of tomato chorismate synthase 2 precursor gene (CSP)
	<400>32	Nucleotide sequence of an upstream portion of <i>Ds</i> insert containing PMGS in tomato (line UQ13)
5	<400>33	Nucleotide sequence of an downstream portion of <i>Ds</i> insert containing PMGS in tomato (line UQ13)
	<400>34	PMGS from UQ13
	<400>35	Partial nucleotide sequence of tomato expansin 2
	<400>36	PMGS from UQ13
	<400>37	Partial nucleotide sequence of tomato ADP-glucose pyrophosphorylase
10	<400>38	PMGS from UQ12
	<400>39	Partial nucleotide sequence of tomato Ca ²⁺ ATPase

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- 27 -

EXAMPLE 1***Ds/sAc* Transposon system**

The inventors have previously developed a two component *Ds/sAc* transposon system in transgenic tomato for tagging and cloning important genes from plants (5, 13). The components of the system are shown in Figure 1 and comprise: i) a non-autonomous genetically-engineered *Ds* element (e.g. SLJ1561), and ii) an unlinked transposase gene *sAc* (SLJ10512), required for transposition of the *Ds* element. To activate transposition, the two components are combined by crossing transformants for each component. A plant selectable marker gene, e.g. *nos:BAR*, is inserted into the *Ds* element to enable selection for reinsertion of the elements following excision from the T-DNA (Figure 1). The marker gene is irreversibly inactivated when the *Ds* line is crossed to a transformant expressing the transposase gene (5). Silencing occurred when the *Ds* element remained in its original position in the T-DNA, and also occurred in the great majority of cases when the *Ds* element transposed to a new location in the tomato genome. The silenced marker gene has been shown to be stably inherited, even after the transposase gene segregates away from the *Ds* element in subsequent generations.

EXAMPLE 2**Transposon tagging of a chromosomal region enabling
full expression of the *nos:BAR* transgene**

The experimental strategy for generating tomato lines carrying transposed *Ds* elements from T-DNA 1561E is shown in Figure 2. The *Ds* element in 1561E carries a *nos:BAR* marker gene. In construction of the *Ds*, the 5' end of the *nos* promoter is cloned into the *Xho* I site, 1100 bp from the 3' end of *Ac*. Hundreds of plants carrying transposed *Ds* elements are screened for resistance to phosphinothricin (PPT), the selection agent for the *BAR* gene. Surprisingly, several lines are identified which show at least some level of resistance. One line, called UQ406, carries a single transposed *Ds* element (without the transposase gene which has segregated away) and is resistant to PPT. Stable inheritance of *BAR* gene expression in this line has been demonstrated through several generations. These results indicate that the strategy for tagging active chromosomal regions by screening for PPT resistance is a successful approach.

- 28 -

Southern hybridization analysis of the original *Ds* transformant 1561E, UQ406 and several lines carrying silenced *nos:BAR* transgenes indicates that silencing is correlated with methylation of the *Sst*II site in the *nos* promoter (Figure 3). Total leaf tissue is used in this analysis, and the *Sst*II site in the *nos* promoter in UQ406 is only partially methylated, enabling sufficient expression of the *bar* gene to confer resistance. In silent *nos:BAR* genes, the *Sst*II site and *Not*I site immediately downstream from the coding sequence are both methylated (Figure 3). In UQ406, the *Not*I site is unmethylated, as in 1561E (Figure 3).

EXAMPLE 3

10 Cloning sequences flanking an active *nos:BAR* gene

GenomeWalker (14) is used to clone the tomato DNA sequences flanking the *Ds* element in UQ406. The DNA flanking the *Ds* element in line UQ406 is cloned and sequenced, and a search of the PROSITE database reveals that the *Ds* has inserted into the promoter region of an α -amylase gene. The promoter <400>1 shows strong similarity to an α -amylase promoter of potato (15; Figure 4) <400>2 and the coding sequence of the gene has strong homology with one of 3 reported potato α -amylase cDNAs (16). The DNA from 651 bp upstream of the UQ406 insertion to the end of the *Dem* coding sequence, has been sequenced (Figure 5) <400>3. Other such sequences have been located and cloned (see below) using the method of Example 4. Nucleotide sequences disclosed herein which flank the active *nos:BAR* gene are designated "phenotype modulating genetic sequences" or "PMGSs".

EXAMPLE 4

25 An improved transposon tagging strategy for transgenic tomato

The inventors have used the transposon tagging system described in Example 1 (also see Figure 2) to tag and clone two important genes involved in shoot morphogenesis. The *DCL* gene is required for chloroplast development and palisade cell morphogenesis (13) and the *Dem* (Defective Embryo and Meri stem) gene is required for cotyledon development and shoot and root meristem function. Stable *Ds* insertion mutants of *Dem* germinate but fail to develop any further. In contrast, the unstable *Dem* seedlings appear at first to be mutant but the transposase

- 29 -

gene activates transposition of the *Ds* and reversion of the *Dem* locus to wild-type, thereby restoring function to the shoot meristem.

While the transposon tagging system described in Figure 2 has been successful in tagging genes
5 and a chromosomal region alleviating transgene silencing, it does have two associated inefficiencies. First, transposition cannot be selected in the shoot meristem of F_1 plants heterozygous for *Ds* and *sAc*. As a consequence, many TC_1 progeny derived from test-crossing these F_1 plants still have the *Ds* located in the T-DNA. The other limitation of the system is that sibling TC_1 progeny derived from a single F_1 plant often carry the same clonal transposition and
10 reinsertion event. The extent of clonal events amongst sibling TC_1 progeny can only be monitored by time consuming and expensive Southern hybridisation analysis.

These two inefficiencies in the transposon tagging strategy are overcome in accordance with the present invention by using the *Dem* gene as an excision marker. The new system enables
15 selection for transposition in the shoot apical meristem and visual identification of plants carrying independent transposition events. Transposition is initiated by crossing a *Ds* line with a *sAc* line (Figure 6). The *Ds* line is heterozygous for a *Ds* insertion in the *Dem* gene and the *sAc* line is heterozygous for a stable frameshift mutation in the *Dem* gene (Figure 6). The frameshift allele is derived from a *Ds* excision event from the *Dem* locus. Both the *Ds* and *sAc* lines are wild-type
20 due to the recessive nature of the *Ds* insertion and frameshift alleles. PCR tests on intact leaf tissue have been developed for the rapid identification of these *Ds* and *sAc* parental lines. The F_1 progeny derived from crossing the *Ds* and *sAc* lines segregate at the expected ratio of 3 wild-types to 1 mutant. Because the *sAc* is linked to the frameshift *dem* allele, almost all of the F_1 mutants also inherit the transposase gene (*sAc*) and can undergo somatic reversion. These
25 revertant individuals have abnormal cotyledons, but *Ds* excision from the *Dem* gene restores function to the shoot apical meristem. Each somatic revertant represents an independent transposition event from the *Dem* locus. A non-destructive test for *nos:BAR* expression is used involving application of phosphinothricine [PPT] (the selective agent for expression of *BAR* gene) to a small area of a leaf. Somatic revertants resistant to PPT are grown through to seed and
30 the F_2 progeny are screened again for PPT resistance. Lines carrying transposed *Ds* elements expressing *nos:BAR* are selected for more detailed molecular analysis. Four additional

- 30 -

independent insertions carry active *nos:BAR* genes. These mutants are UQ11, UQ12, UQ13 and UQ14. The donor *Ds* was originally located in the *Dem* gene (Figure 3) and in that location in the *Dem* gene the *nos:BAR* gene was silent. These independent lines were selected for further analysis (see Examples 5 and 6).

5

The efficient saturation mutagenesis of this chromosomal region is dependent on the use of the *Dem* gene as a selectable marker for independent transposition events. A recombinant selectable marker for independent transpositions is produced and transformed into tomato for saturation mutagenesis in other chromosomal regions of tomato. This system may be introduced into any

10 species possessing the *dem* mutation, in order to facilitate transposon tagging of genes.

EXAMPLE 5

Ds transposon tagging of a putative patatin gene

15 DNA sequences flanking the active *nos:BAR* in a line designated UQ12 have similarly been cloned and sequenced. The flanking DNA appears to correspond to an intron in a homologous potato patatin gene. Patatin is the major protein in the potato tuber and has many potentially-important characteristics. For example, it possesses antioxidant activity; it has esterase activity and is potentially a phospholipase or lipid acylhydrolase (hydrolyzing phospholipase, liberating

20 free fatty acids); it is induced during disease resistance; and it inhibits insect larval growth.

The sequence upstream of the *Ds* insertion (i.e. upstream of the *nos:BAR* gene) is as follows:

	AATCAAAGAG	GAATTNAATT	CCNCAAAATT	TCATCCATAG	ATTTTGNGTC	50
25	TCTGAAAATT	AAAGTGACTT	TGTAATCTGA	AACCTAGAGT	CCTCAACCAT	100
	ATCATTGACC	ATTAAGCCAT	ACCCTTAAAT	GTAGGGAATT	TGAAGTTTTA	150
	AAAACCACAC	TTTGTTATTT	ATTGGCCCAA	ATACTCGATA	ATCTTTACAT	200
	TATTGAAAAT	CAACATTCAA	AAGGAACGAA	CCTTCAATCA	CACCATCAAT	250
	GTCAACTTTC	TTTTATTTTG	GATAATCTAA	GTTTTTAAAT	TGCAGTAAAA	300
30	TNAAATAAAA	CCCTAAACTT	CTTCTAGGTT	GAGACTTAGT	AAATATGAAT	350
	TATATAAAGA	ATTCATGACA	AATGAGACAT	AAGAATAGTG	CCAGCAAATT	400
	ACTTTTTTGA	TATCTTATCT	GTGATATCGG	AATTTTAACT	ACCATAAATT	450
	TATGAATGAA	ATATCACTTA	TCTATTAGAG	AGGATTTAAT	CTCCCTTATA	500
	ATGACATTGA	TAAAAGCAAG	NACAAGTGCT	CTTTATTTCCT	TAATTACAAA	550
35	TCCTTAAATA	GATAAAAGCT	ACGAATAACA	TAATATCCTT	AAATAGATAA	600

- 31 -

AAGCTACGAA TAACATAATA GTATATTACT CCNAATTATT TTGATTTATT 650
TAAAATGACT CCACTAATCC TGATGTGGTC TAGG <400>4 684

The tomato sequence immediately downstream of the *Ds* insertion (i.e. downstream of the
5 *nos:BAR* gene) is as follows:

GGTCTAGGCC CTGGGTCTAG GAAACAAAAT AACTTATTTG ACTCCTAAAC 50
AATAGCAACA TACAAACCAC TGATATTGTA CAAGTAAAT TCAATAAAAT 100
TCTAGCTCTC TCAAACACTT TTTAAATTTGT TATTTCTGTT TTGTCTGTGT 150
10 CATATTATGA CCTACACAAC AACAACAACA ACGAATTTAG TGAAACTCTA 200
CAAAGTGGAG CCTGAAGTCG AGAGTTTACG CGGGCCTTAT CACTATCTTT 250
TCGAGATAAA AAAATTATTT TTTAAAGATC ATCGACTTAA ACAAACCAAA 300
CAATAATTAA AAAAATATGA ATTAATAGCA AAGCAGTGTG GACCATATAT 350
ACAAAAATCT ATAACAACAA CAAGGTGCAG AGCATTATTC CAACTAAGAT 400
15 CGAAGTTGTG ATACTGTCAT AATAAAAATG ACACATATTT TGACAACATA 450
AAAAATAAAT AACCATAAAA TATATCATAG AAAAATGAAT ATATTAGAAC 500
AGCTCACTCC AATATTAAAA GAGAGAAAAA AAATATTTTC CCACCACAAT 550
GCCATAATCC TTGAGCTTAG CTATTTATAA GTAAAAAAA TGTTTTCTTG 600
GATAAATAGA AAAAGAAATA ATAATTAAAC ATAACCAATC ACTTCACAAA 650
20 TAAGAGTGTA TT <400>5 662

The level of homology between the potato and a tomato sequence is as follows:

Tomato: 307 ATTTATTTT TAGGAAAAATTATCTAAATACACATCTTATTTTACCATATACTCTAAAAAT 248
| |||| ||||||||| ||||||||| |||| | || |||| ||||||| |
25 Potato: 1914 AATTATATTTAGGAAAAATTACATAAATACACAACCTTAATATATTATTTCTCTAAAATT 1973

247 TCC 245 <400>6
|||
1974 TCC 1976 <400>7

30

This *Ds* line also exhibits a disease mimic phenotype (as does UQ406), indicating that the patatin
gene may be involved in disease resistance and/or may act as an anti-oxidant in plant cells.

Homology is determined between UQ12 and a partial sequence encoding Ca²⁺ ATPase:

35

Bestfit of UQ12D73 and Ca²⁺ ATPase

914 TTATACATTTCTGTTTGTATAAAGTGAAAGAGGAGAAGCAGAGAGTGGCG 865
||||| |||| | ||||||||| ||||| || ||||| |||
40 1015 TTATATATTTGTATTTGTATAAAGTGAAAGAGACGATG..GAGAGTAGCG 1062

- 32 -

864 AGCGAGTTCCAGGAAGAGAAAAGAATGTCAATATGTTTTCTACGGATTAG 815
 ||||| | | |||| | | | | |||||
 1063 AGCGAGATTAAAAAGAGTGGCGAACG AGATATGCCGTAAATTAG 1107

5 814 AATTAAATGAACTGTAGCTATATTATTTATTTTAAATTAATAATTTGC 765
 ||||| ||||| |||| | | ||||| || | |||||
 1108 AATTAAATGAACTGTCATTATAACATTTATTTTGAATAAATAATTTTGA 1157

10 764 TATAATGCACAAATTTCCCTTTAAAACGAAAAAGTATTTGATAATGT 718
 ||||| ||||| |||| | | | |||||
 1158 TATAATACACAATTTTC . . TTAAAAAGCAACGA GATAATGT 1196

EXAMPLE 6**UQ11 mutant tomato plant**

15

A mutant tomato plant designed UQ11, was subject to characterization. The UQ11 *Ds* insertion resulted from transposition of the *Ds* back into the T-DNA, but it is slightly closer to the right border and in the opposite orientation (Figure 13). Figure 12 shows the DNA sequence upstream of the UQ11 *Ds* insertion. Nucleotide 1 is the first nucleotide upstream of the *Ds* (and

20 the active *nos:BAR* gene). The sequence for nucleotides 1 to 295 is T-DNA sequence corresponding to the right border of tomato transformant 1561E (5), the starting position of the *Ds* before lodging in the *Dem* locus. This is nucleotide sequence <400>10. Nucleotides 296 to 886 (in *italics*) [<400>11] correspond to tomato genomic DNA flanking the T-DNA insertion in 1561E. Note the *Bam*HI/*Bc*II fusion sequence (TGATCC) and the *Hpa*I site (GTTAAC),

25 both in bold in the Figure 12, immediately upstream of the insertion site (see Figure 1). The putative PMGSs of UQ11 reside in the right border of the T-DNA (nucleotide 1 to 295), and/or the flanking tomato DNA (nucleotide 296 to 886). Another PMGS may also be located further upstream.

30

EXAMPLE 7**PMGS in tomato mutant UQ14**

A *Ds* insertion mutant, UQ14, resulted in *nos:BAR* expression. The transposon had, therefore, inserted proximal to a PMGS. The nucleotide sequences comprising PMGSs are represented in

09701926-060101

- 33 -

<400>12 and <400>13.

A series of comparisons between <400>12 and other genes or nucleotide sequences was conducted:

5

- (1) Homology between PMGS-UQ14 sequence [<400>14] upstream of Ds insertion and the 3' untranslated region of a potato sucrose synthase (susi) gene, Acc. no. AF067860 (70% homologous over about 200 bp):

```

10 PMGS-UQ14      40 TATGTTGCTCAAATCCTTCAAAAATCTCGACAGATGCATG.....G 80
    ||||| ||||| ||||| ||||| ||||| ||||| ||||| |||||
Potato susi 7549 TATGTTGCTCAAACACTTCAAAAATGTCCACAGGTGCGTGTCGGATACTC 7598

PMGS-UQ14      81 CACCCGGTAGTGCAATTTTTTTGAATGAGCTGGATACGAGTGCAATAATAT 130
15 || ||||| ||||| ||||| ||||| ||||| ||||| |||||
Potato susi 7599 CAAAAGTAGTGTATTTAGGTGTGTG....TGATATTAGT...AGTGTAT 7641

PMGS-UQ14     131 ATTTGGGAAGTTTGTAGCAAAATAGACCTGAAATTACTTTTAGCTTTTCTT 180
    ||||| ||||| ||||| ||||| ||||| ||||| ||||| |||||
20 Potato susi 7642 ATTTAGG.TGTGTGTGGATAGTAG...TGTATTTAGATGTGTGTGATATT 7687

PMGS-UQ14     181 TTTTAAAG.....GAATCGGATATGGGTACAATAATATTTT 216
    | |||| ||||| ||||| ||||| ||||| ||||| |||||
Potato susi 7688 TCAAAAAGTTGTGTATTTTGGAGAATTTGATACGGGTGCGGCAACAATTT 7737

25 PMGS-UQ14     217 TGAAGAGTC.TGAGCAACATAG 237
    ||||| ||||| ||||| |||||
Potato susi 7738 TGAAGAGTCAGGAGCAAAATAG 7759

```

30

- (2) Homology between Region 1 of PMGS-UQ14 sequence (upstream of Ds insertion) and 3' untranslated regions of potato sucrose synthase and two other genes, namely:
- 3' untranslated region of a potato sucrose synthase (susi) gene, Acc. no. AF067860 (83% homologous over 41 bp),
 - 3' untranslated region of a potato lactate dehydrogenase (LDH) gene (85% homologous over about 41 bp), and
 - intron 2 of the tomato phytochrome B1 (PHYB1) gene, Acc. no LEAJ2281

35

a)

5

PMGS-UQ14 40 TATGTTGCTCAAATCCTTCAAAAATCTCGACAGATGCATGGC 81

|||||

Potato susi 7549 TATGTTGCTCAAACACTTCAAAAATGTCCACAGGTGCGTGTC 7590

10
b)

PMGS-UQ14 39 CTATGTTGCTCAAATCCTTCAAAAATCTCGACAGATGCATG 79
|||||

15 Potato LDH 704 CTATGTTGCTCAAATCCTTCAAAAATGTCATTGGATGCGTG 744
|||||

```

c)
PMGS-UQ14          40 atgttgctcaaataccttcaaaaa 62
20                |||||
Tomato PHYB1       6781 atgttgctcaaataccttcaaaaa 6803

```

- (3) Homology between Region 2 of PMGS-UQ14 sequence (upstream of Ds insertion) and untranslated regions of five other genes, namely:

- 25 a) 3' untranslated region of a potato sucrose synthase (susi) gene, Acc. no. AF067860 (74% homologous over 38 bp),
- b) 3' untranslated region of a potato lactate dehydrogenase (LDH) gene (75% homologous over about 47 bp),
- c) intron 1 of a potato cytosolic pyruvate kinase gene, Acc. no STCPKIN1 (71% homologous over 58 bp),
- 30 d) genomic sequence downstream of a *Brassica napus* 1.7S seed storage protein napin (*napA*), Acc. no. BNNAPA (71% homologous over 58 bp), and
- e) 3' untranslated region of a tomato chorismate synthase 2 precursor (CSP) gene, Acc no. LECHOSYNB (95% homologous over about 23 bp).

35

a)

PMGS-UQ14 189 GAATCGGATATGGGTACAATAATATTTTGAAGAGTCTG 227

5 b)

10

15

d)

20

25

e)

30 Tomato CSP 1630 acaacaatatTTTTTgaagaqtct 1652

Tagging additional genes involved in carbon metabolism

40

- 36 -

The five lines carrying active *nos:BAR* genes associated with regions homologous to DNA sequences of known function are:

- *Ds* insertion in UQ406 - associated with the promoter of an α -amylase gene (Example 3, above);
- 5 • *Ds* insertion in UQ12 - associated with a putative patatin gene (Example 5);
- *Ds* insertion in UQ11 - associated with the Right Border of the *Agrobacterium* T-DNA 1516E (refer to Figures 12 and 13 and Example 6). This was the T-DNA carrying the *Ds* that was initially transformed into tomato. In other words, the *Ds* transposed from the *Dem* locus back into the T-DNA;
- 10 • *Ds* insertion in UQ14 - associated with or closely linked to a putative sucrose synthase gene (see Example 7); and
- *Ds* insertion in UQ13 - associated with or closely linked to a putative UDP-glucose-pyrophosphorylase gene and/or expansin, genes potentially involved in starch biosynthesis.

15 In four of these instances, the *Ds* is associated with DNA sequences related to carbon (C) metabolism (α -amylase, patatin, sucrose synthase and UDP-glucose-pyrophosphorylase). Since several of these lines are characterised by a disease mimic phenotype, this implies that a patatin gene and a sucrose synthase gene (and probably other C metabolism genes) are involved in
20 disease resistance. These data also indicate that many metabolism genes and many so called house-keeping genes contain demethylation sequences or sequences which prevent or reduce methylation.

The portions of the nucleotide sequence downstream of the *nos:BAR* insertion in UQ13 were
25 compared with the nucleotide sequences for tomato expansin 2 ADP-glucose pyrophosphorylase and Ca^{2+} ATPase. The Bestfit analysis is shown below:

Bestfit of UQ13D73 and Expansin 2

```

30      510 GGTCTTTGGCATAAAAATACATAATGCAGGGATTATTAACGTATAGATT 559
          | | | | | | | | | | | | | | | | | | | | | | | | | |
4233 GATCGTACGGTACAAAGATCAATACTTCAGG.....GAGT 4267

```

20

Bestfit UQ13D73 and Tomato ADP-glucose pyrophosphorylase

30

**A rapid bioassay for identification of tomato DNA sequences
capable of alleviating transgene silencing in a heterologous plant species**

An efficient transformation system has been developed for sugarcane, based on particle bombardment of embryogenic alleles, followed by plant regeneration (17). The bioassay is useful for identifying tomato sequences which prevent transgene silencing and employs the plant expression vector pZorZ. This plasmid carries a firefly luciferase reporter gene (*luc*), under the

- 38 -

control of the *Osa* promoter (12). After bombardment of embryogenic callus of sugar cane, the luciferase gene is expressed, as determined by protein assay or observed by visualisation of the chemiluminescence of the luciferase enzyme. However, in normal sugarcane, it becomes completely silenced upon regeneration. The silencing appears to be correlated with methylation
5 of the transgene. This phenomenon was used to test the effect of putative PMGSs, as follows.

Expression vector pZorZ (12) was digested with *Hind*III and an approximately 20bp oligonucleotide, containing a *Not*I restriction site and overhanging ends complementary to the *Hind*III site, was ligated into the *Hind*III site at position 1 of the pZorZ backbone just upstream
10 of the *Osa* promoter. The ligation results in the loss of the *Hind*III site. The new plasmid was designated pUQ511 (Figure 7).

Plasmid pUQ511 was then partially digested with *Eco*RI, to isolate the full-length linearised plasmid. This plasmid was ligated with another approximately 20bp oligonucleotide, containing
15 a *Sma*I restriction site and overhanging ends complementary to the *Eco*RI site. This ligation results in the loss of the *Eco*RI site. Religated plasmids containing the new *Sma*I site at position 1370 of the pZorZ backbone, just downstream of the nos terminator, were selected by PCR and this new plasmid was designated pUQ505.

20 Plasmid pUQ505 or pUQ511 were used as the starting vectors for constructing expression vectors containing putative PMGSs for bioassay. Tomato sequences flanking the reactivated *nos:BAR* insertions of UQ406, UQ11 and UQ14 were inserted into pUQ505 at the *Not*I site and into pUQ511 at either the *Not*I site or the *Eco*RI site or both. For example, pUQ505 was partially digested with *Not*I and the putative 886 bp-PMGS from UQ11, as shown in <400>9,
25 was ligated into the new *Not*I site (formed as described above), in both orientations, to generate pUQ527 and pUQ5211 (Figure 7).

These modified pZorZ expression vectors were used with a transformation marker to transform sugarcane, in order to test whether the PMSGs are capable of alleviating silencing of the *luc*
30 gene. Smaller fragments are then generated by deletion analysis and subcloned into expression vectors, to more accurately define the effective sequences.

- 39 -

Tomato sequences flanking reactivated *nos:BAR* in UQ406, UQ11, UQ12, UQ13 and UQ14 are also introduced next to a *nos:BAR*, *nos:LUC* or *nos:GUS* recombinant gene in another plasmid vector. These modified recombinant *BAR*, *LUC* and *GUS* genes are inserted into binary vectors (4) for transformation into *Arabidopsis thaliana* (18) to test the ability to prevent silencing of the *nos:BAR* gene in *Arabidopsis*.

EXAMPLE 10

Analysis of sequences responsible for reactivating *nos:BAR* expression

The borders of DNA elements that prevent transgene silencing are initially defined by deletion analysis of clones that yield positive results in the bioassays. The smallest active clone for each chromosomal region is then sequenced and characterised in detail. Sequences from independent *Ds* insertions are compared for homologous DNA elements.

EXAMPLE 11

Modification of plant photosynthetic architecture by *Ds* transposon tagging

As stated in Example 2, UQ406 carries a single transposed *Ds* element (without the transposase gene which has segregated away) and is characterised by showing an improved seedling growth, and a disease mimic or premature senescence phenotype on mature leaves. UQ406 also possesses an active *nos:BAR* gene indicating that the insertion caused two phenotypes: namely premature senescence and reactivation of the *nos:BAR* gene inside the *Ds* element.

Surprisingly, DNA sequence analysis shows that the *Ds* insertion in UQ406 is located only about 3 kb upstream from the ATG of the *Dem* (Defective embryo and meristems) gene which has been cloned by tagging with *Ds* (Example 4). In fact, only about 700 bp of DNA separates the putative α -amylase STOP codon and the *Dem* ATG codon (Figure 8). This region presumably contains the promoter of *Dem* locus and its nucleotide sequence is shown in <400>8. The *Dem* gene is required for correct patterning in all of the major sites of differentiation, namely in the embryo, meristems, and organ primordia. The function of *Dem* was determined by STD, somatic tagging of *Dem*. Figure 8 provides a diagrammatic representation of the STD genotype. Mutant *dem+7*

- 40 -

is a stable frameshift mutant of *Dem*, TPase represents a T-DNA 3 centiMorgans (cM) from *Dem*, carrying the *Ac* transposase and a GUS reporter gene. The transposase is required for *Ds* transposition. The location of stably inherited (shaded) and somatic (open) *Ds* insertions in the *Dem* locus and an upstream α -amylase gene is shown in Figure 8b. The α -amylase gene is in the same orientation as *Dem*. Coding sequences plus introns are shown as boxes and the dark section of the *Dem* locus represents an intron. All of the 8 somatic insertions shown were associated with palisade deficient sectors. The genomic region represented in Figure 8b has been sequenced (see Figure 5; please note that the intron in the *Dem* locus is not included in this sequence). As shown in Figure 8c mutant *dem* sectors lack palisade cells (p, palisade cells, s, spongy mesophyll, g, wild-type dark green sectors, and lg, mutant light green sectors). The inventors have shown, therefore, by somatically tagging *Dem* with *Ds*, that the gene is involved in cell growth during plant differentiation (Figures 8 and 9).

As stated above, the sequence flanking the active *nos:BAR* genes are referred to herein as "Phenotype modulating genetic sequences" or "PMGSs".

Another genotype has been produced for the somatic tagging of the *Dem* gene, further demonstrating the involvement of the *Dem* gene in cell growth. The genetic derivation of somatically-tagged *Dem* is shown in Figure 10. Besides palisade-less sectors (Figure 8), a new phenotypic class is characterized by multicellular palisade tissue. In the wild-type tomato, the palisade tissue is composed of a single long columnar palisade cell. In the new mutant sectors, which look wild-type to the naked eye, the long columnar cell is replaced by several smaller cells packed on top of one another. This is shown in Figure 11. Each mutant sector arises from an independent insertion of *Ds* in the *Dem* gene. The different classes of mutant sectors apparently result from different classes of mutations in the *Dem* gene and also indicates that *Dem* is involved in cell division as well as cell growth, expansion and/or division.

Somatically-tagged *Dem* plants are crossed to a stable null mutant of *Dem* and progeny are screened to identify stable mutant lines with genetically-modified palisade tissue. Lines exhibiting beneficial characteristics, such as increased levels of photosynthetic activity, can then be selected. Lines resulting from other *Dem* alleles and exhibiting other beneficial

- 41 -

modifications, for example altered developmental architecture such as modified cell, tissue or organ growth rate, shape or form, may also be identified.

EXAMPLE 12

5 Transposon tagging of α -amylase gene

The inventors have used the transposon tagging system described in Example 4 to introduce a transposon into the α -amylase gene. One mutant line obtained was UQ406.

- 10 The DNA from 651 bp of the upstream of the UQ406 insertion down to the end of the *Dem* coding sequence has been sequenced (Figure 5). The close proximity of the α -amylase gene to the *Dem* cell growth gene indicates that these genes may play a key role in cell growth, expansion and/or division and differentiation. Several heterozygous insertion mutants are identified in the α -amylase coding sequence and these are selfed to produce plants homozygous for the *Ds*
- 15 insertion in the α -amylase coding sequence. If these have a similar or more or less severe phenotype to the plants homozygous for the stable *Dem* insertion mutant, then this will indicate that indeed this cloned α -amylase gene plays a key role in cell growth, expansion and/or division and, therefore, the shape and growth of plants.

- 20 A tomato chromosomal region spanning these genes is cloned into an *Agrobacterium* binary vector (19) to produce plasmid pUQ113, and this plasmid is introduced into *Arabidopsis* by method of Bechtold and Bouchez (18) to modify the cell shape and growth of this other plant species. A T-DNA insertion mutant in the *Dem* gene is identified in *Arabidopsis* and this mutant is also transformed with pUQ113 to modify the cell shape and growth of *Arabidopsis*.

25

Recombinant combinations of α -amylase and/or *Dem* genes are transformed into a range of plant species to modify the cell shape and growth of the species.

EXAMPLE 13

- 30 Genetic engineering of disease resistance and senescence based on modification of expression of α -amylase

- 42 -

Ds insertion mutant UQ406 is characterized by a lesion mimic phenotype. The mutant phenotype is evident in mature leaves, but not in young leaves or any other tissue. No pathogens are found in leaf tissue displaying this phenotype. The dominant nature of the UQ406 phenotype and the location of the *Ds* in the α -amylase promoter suggest that over-, under or constitutive expression
5 of the gene may be responsible for activating a disease resistance response and/or senescence in mature leaves. These data and the very close proximity of the α -amylase and *Dem* genes are also consistent with co-ordinate regulation of these genes in differentiating tissue. Induction of disease resistance and plant senescence, to produce desirable outcomes in crops and plant products, may, therefore, be able to be controlled by modification of α -amylase expression.

10

An early event in the disease response of a challenged plant is a major respiratory burst, often referred to as an oxidative burst due to an increase in oxygen consumption. This burst of oxygen consumption is due to the production of hydrogen peroxide (H_2O_2) linked to a surge in hexose monophosphate shunt activity (20). This activity results from the activation of a membrane-bound
15 NADPH oxidase system which catalyses the single electron reduction of oxygen to form superoxide (HO_2/O_2^-), using NADPH as the reductant (20). Spontaneous dismutation of HO_2/O_2^- then yields H_2O_2 . Consumption of glucose *via* the hexose monophosphate shunt (alternatively known as the cytosolic oxidative pentose phosphate pathway) regenerates the NADPH consumed by the NADPH oxidase system. It is, therefore, entirely conceivable that an α -amylase is
20 responsible for supplying sugars required by the pentose phosphate pathway, and perhaps for the primary activation of the signal transduction pathway that leads to disease resistance in plants.

Following the oxidative burst, disease resistance is manifested in localised plant cell death called the hypersensitive response (HR), in the vicinity of the pathogen. The HR may then induce a
25 form of long-lasting, broad spectrum, systemic and commercially important resistance known as systemic acquired resistance (SAR). The compounds, salicylic acid, jasmonic acid and their methyl derivatives as well as a group of proteins known as pathogenesis related (PR) proteins are used as indicators of the induction of SAR (23).

30 Increased levels of sugars have been related to heightened resistance especially to biotrophic pathogens (21). When invertase (the enzyme responsible for the breakdown of sucrose to

- 43 -

glucose and fructose) is overexpressed in transgenic tobacco, systemic acquired resistance is induced (22).

The α -amylase coding sequence is inserted behind an inducible promoter and transformed into plants to confer a inducible disease resistance in plants. Similarly, the α -amylase coding sequence is inserted behind an inducible promoter and transformed into plants to confer inducible senescence in plants for the production of desirable products or traits.

When a disease resistance response is invoked in one part of a plant, a general and systemic acquired enhancement in disease resistance is conferred on all tissues of such a plant (21). Tomato line UQ406 is tested for enhanced resistance to a wide range of pathogens to test this hypothesis.

EXAMPLE 14

Modifications of carbon metabolism

As stated in Examples 7 and 8, in four of the five lines carrying active demethylated *nos:BAR* genes, the *Ds* has inserted into or near sequences homologous with carbon metabolism gene. These results indicated that many C metabolism genes have *cis*-acting sequences which prevent methylation and concomitant gene silencing. Demethylation sequences are inserted next to recombinant C metabolism genes to enhance their expression and modify C metabolism in beneficial ways; such as up-regulation of the sucrose phosphate synthase gene in sugar cane, to yield higher concentrations of sugar in beneficially-modified plants.

EXAMPLE 15

Cloning of downstream genes associated with plant cell apoptosis caused by *Ds* insertion

A cDNA library is made from tomato leaf tissue showing the disease mimic (apoptosis) phenotype caused by *Ds* insertion in UQ406. This library is screened differentially with two probes, one being cDNA from normal tissue and the other being cDNA made from leaf tissue

- 44 -

showing the disease mimic phenotype caused by *Ds* insertion. This procedure identifies genes specifically-induced during plant cell death. These apoptosis-associated genes are then sequenced, and compared with other genes present in the DNA databases. The proteins encoded by these genes are expressed *in vitro* and tested for their ability to kill plant cells.

5

EXAMPLE 16

Analysis of *Dem* and its product DEM

1. DEM in differentiating cells

10

A truncated version of DEM protein is expressed *in vitro* from an *E. coli* pET expression vector. Polyclonal antibody is raised against this truncated DEM protein in mice. In Western blots, the polyclonal antibody specifically recognizes a protein of the predicted size of the DEM protein in shoot meristem tissue. This antibody is employed in immunolocalization experiments. Tomato shoot and root meristematic regions and leaf primordia are processed for electron microscopy and immunolocalization of DEM. The technique employs gentle aldehyde crosslinking of the tissues and infusion with saturated buffered sucrose before freezing the samples in liquid nitrogen. Mounted blocks are then thin sectioned at low temperature at low temperature and immunolabelled with fluorescent or electron dense markers suitable for electron microscopy, a room temperature. An advantage of this methodology is the excellent ultrastructural preservation, combined with the retention of antigenicity which allow for meaningful antigen-antibody localisation of proteins. Results show that the polyclonal antibody detects an antigen in the outer cell layer of shoot meristem tissue.

25 2. Cell walls

Standard analytical techniques are used to analyse and compare cell wall compositions of mutant *dem* and wild-type tissue.

30 3. Function of the *DEM* homologue (*YNV212N*) in yeast

- 45 -

The mature N-terminal sequence of the DEM protein, MGANHS conforms to the consensus sequence for N-myristoylation. This consensus sequence appears to be missing from the predicted YNV212W protein based on genomic sequence. A full length yeast YNV212W cDNA is cloned and sequenced, and gene disruption techniques are used to introduce frameshift mutations at several locations along the YNV212W coding sequence. By generating frameshift mutations at several points along the gene, mutant alleles of YNV212W are created. The resultant mutants are observed for modified growth and morphology. There are no other genes in yeast that are homologous to YNV212W. YNV212W cDNA is cloned into an inducible expression vector for yeast, and yeast strains overexpressing YNV212W are observed for changes in growth and morphology.

4. Identification of wild-type and mutated *Arabidopsis* genes that are homologous to *Dem*, and observation of insertion mutants for altered morphology

BLAST searches (25) using the tomato *Dem* nucleotide sequence has identified three separate homologous sequences in *Arabidopsis* (accession numbers AB020746, AC000103 and ATTS5958). The level of homology to the tomato gene ranges from 56 to 68% on the nucleotide level over 350 to 800 bp and indicates that there may be several genes related to *Dem* in plants. Full length *Arabidopsis* cDNAs homologous to the tomato *Dem* cDNA are cloned and sequenced. Antisense constructs under control of the cauliflower mosaic virus 35S promoter are made and transformed into *Arabidopsis* and the resulting transformants are observed for morphological abnormalities. Insertion mutants in *Dem* homologues are identified from the *dSpm* and T-DNA tagged lines of *Arabidopsis*. Insertion mutants are screened for modified morphology.

5. Identification and characterization of additional stable *Ds* insertions in the vicinity of *Dem* and screening for mutants with modified photosynthetic architecture

Up to 2,000 STD progeny lacking the *Ac* transposase (detected by absence of the GUS reporter gene) are screened by PCR for *Ds* insertions in the region of *Dem*. DNA is extracted from bulk leaf samples of 50 plants and used as template in 8 PCRs. All 8 reactions include oligonucleotide

- 46 -

primers facing away from both sides of *Ds*. The 8 separate PCRs vary according to the oligonucleotide primer used to anneal to the tomato genomic sequence. These 8 primers are evenly distributed, 1kb apart along the tomato sequence. Amplification of a fragment indicates a *Ds* insertion in the vicinity of *Dem*. When a fragment is amplified from a DNA sample, the PCR product is authenticated by a nested PCR. Subsequently, the individual plant carrying the *Ds* insertion in the vicinity of *Dem* is identified by the appropriate PCR assay, using intact leaf tissue as template. Plants homozygous for new stable *Ds* insertions in the vicinity of the *Dem* locus are morphologically characterized, both in terms of meristem structure and organization of photosynthetic tissue. New lines showing modified morphology are crossed to a line expressing *Ac* transposase. Instability of the phenotype in the presence of transposase will confirm that a *Ds* element is responsible for the modified morphology.

The progeny from STD plants are also screened directly for stable mutants in the photosynthetic architecture of leaves. The screen involves hand-sectioning the tissue, then toluidine blue staining followed by light microscopy. This method results in the isolation of genetically-stable multicellular palisade mutants. Mutants are crossed to a line expressing *Ac* transposase to determine if the mutation is due to a *Ds* insertion. If the phenotype shows instability in the presence of transposase, the corresponding gene is cloned and characterized.

6. Antisense *Dem* constructs for transformation into tomato

Antisense constructs involving the tomato *Dem* coding sequence are produced and transformed into tomato with the aim of producing a large number of tomato lines that vary in DEM function. The antisense constructs are made under the control of the 35S promoter. Thirty transformants are produced and observed for modified growth and morphology. Microscopy is used to characterize the organization of photosynthetic tissue in these antisense lines.

EXAMPLE 17

Analysis of PMGSs

The PMGSs in mutant lines such as UQ11, 12, 13 and 14 and 406 are analysed in a number of

- 47 -

ways. In one analysis, the right border (RB) and or flanking DNA in a *Ds* containing line in which *nos:BAR* is expressed is used to screen for stabilized expression of transgenes. For convenience, transgenes encode a reporter molecule capable of providing an identifiable signal. Examples of such reporter transgenes include antibiotic resistance.

5

In addition, genetic constructs comprising nucleotide sequences carrying PMGSs flanking *nos:BAR* are inserted next or otherwise proximal to selectable transformation marker genes such as *BAR* or *NPT* and the resulting plasmids are used in transformation experiments to enhance the transformation efficiency of plant species such as wheat and sugar cane.

10

EXAMPLE 18

Therapeutic application of PMGSs

Latent viruses such as HIV-1 may employ mechanisms such as methylation to remain inactive until de-methylation occurs. The PMGSs of the present invention may be used to de-methylate and activate latent viruses such as HIV-1 so that such viruses can then be destroyed or inactivated by chemical or biological therapeutic agents.

Those skilled in the art will appreciate that the invention described herein is susceptible to variations and modifications other than those specifically described. It is to be understood that the invention includes all such variations and modifications. The invention also includes all of the steps, features, compositions and compounds referred to or indicated in this specification, individually or collectively, and any and all combinations of any two or more of said steps or features.

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- 49 -

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- 50 -

CLAIMS:

1. An isolated phenotype modulating genetic sequence (PMGS) comprising a sequence of nucleotides which increases or stabilizes expression of a second nucleotide sequence inserted proximal to said first mentioned nucleotide sequence.
2. A PMGS according to claim 1 wherein said PMGS promotes de-methylation or prevents or inhibits methylation of said second nucleotide sequence.
3. A PMGS according to claim 1 wherein said PMGS modulates expression of the gene encoding an amylase.
4. A PMGS according to claim 1 wherein the PMGS encodes an amylase.
5. A PMGS according to claim 3 or 4 wherein the amylase is α -amylase.
6. A PMGS according to claim 1 wherein the PMGS modulates expression of *Dem*.
7. A genetic construct comprising a PMGS according to any one of claims 1 to 6 and means to facilitate insertion of said second nucleotide sequence within, adjacent to or otherwise proximal with said PMGS.
8. A genetic construct according to claim 7 wherein the second nucleotide sequence is operably linked to a promoter.
9. A method of increasing or stabilizing expression of a nucleotide sequence or otherwise preventing or reducing silencing of a nucleotide sequence or promoting transcription degradation of an endogenous gene in a plant or animal or cells of a plant or animal, said methods comprising introducing into said plant or animal or plant or animal cells said nucleotide sequence flanked by, adjacent to or otherwise proximal with a PMGS.

- 51 -

10. A method of inhibiting, reducing or otherwise down regulating expression of a nucleotide sequence in a plant or animal or cells of a plant or animal, said method comprising introducing into said plant or animal or plant or animal cells the nucleotide sequence flanked by, adjacent to or otherwise proximal with PMGS.

11. A method for controlling physiological processes in a plant said method comprising modulating starch metabolism in cells of said plants.

12. A method of inducing a physiological response in a plant said method comprising inhibiting or facilitating starch metabolism in cells of said plant after the initial developmental stage.

13. A method according to claim 11 or 12 wherein modulation of starch metabolism comprises the use of a PMGS.

14. A method according to claim 11 or 12 or 13 wherein starch metabolism is modulated by modulating expression of the gene encoding α -amylase.

15. A method of inducing a physiological response in a plant such as but not limited to inducing resistance to a plant pathogen, enhancing or delaying senescence, modifying cell growth or altering the shape of cells, tissues or organs, said methods comprising modulating synthesis of an amylase or functional derivative thereof for a time and under conditions sufficient for starch metabolism to be modified.

16. A method according to claim 15 wherein the amylase is α -amylase.

17. A transgenic plant or a genetically modified plant exhibiting one or more of the following characteristics:

- (i) a non-developmentally silenced amylase gene;
- (ii) an amylase gene capable of constitutive or inducible expression;
- (iii) a mutation preventing silencing of an amylase gene;

- 52 -

- (iv) a nucleic acid molecule proximal to an amylase gene and which substantially prevents methylation of said amylase gene;
- (v) decreased amylase gene expression; and/or
- (vi) a genetically modified amylase allele(s).

18. A transgenic plant or a genetically modified plant exhibiting one or more of the following properties:

- (i) a non-developmentally silenced *Dem* gene;
- (ii) a *Dem* gene capable of constitutive or inducible expression;
- (iii) a mutation preventing silencing of the *Dem* gene;
- (iv) a nucleic acid molecule proximal to the *Dem* gene and which substantially prevents methylation of said *Dem* gene or demethylates the *Dem* gene;
- (v) decreased *Dem* gene expression; and/or
- (vi) a genetically modified *Dem* allele(s).

19. A transgenic plant or a genetically modified plant exhibiting one or more of the following properties:

- (i) a non-developmentally silenced putative patatin gene;
- (ii) a putative patatin gene capable of constitutive or inducible expression;
- (iii) a mutation preventing silencing of a putative patatin gene;
- (iv) a nucleic acid molecule proximal to a putative patatin gene and which substantially prevents methylation of said putative patatin gene or demethylates said putative patatin gene;
- (v) decreased putative patatin gene expression; and/or
- (vi) a genetically modified patatin allele(s).

20. A PMGS comprising the nucleotide sequence:

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<400>10; <400>11; <400>12; <400>13; <400>14; <400>15; <400>16; <400>17;

- 53 -

<400>18; <400>19; <400>20; <400>21; <400>22; <400>23; <400>24; <400>25;
<400>26; <400>27; <400>28; <400>29; <400>30 and/or <400>31; or a sequence having
at least 25% similarity after optimal alignment of said sequence to any one of the above
sequences or a sequence capable of hybridizing to any one of the above sequences under
low stringency conditions at 42°C.

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TOTAL 22570450

Two-component transposon system for transgenic tomato

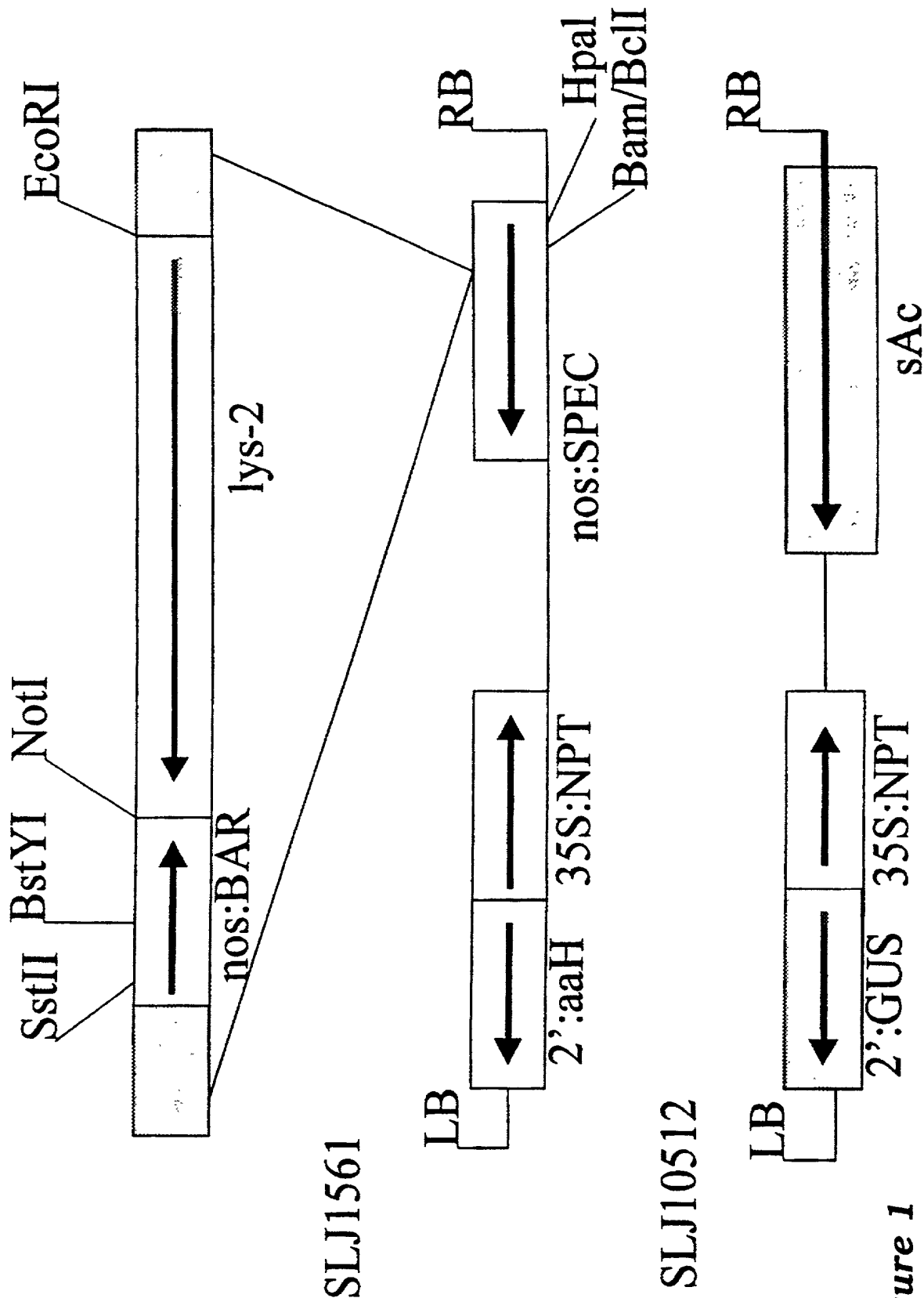


Figure 1

2/24

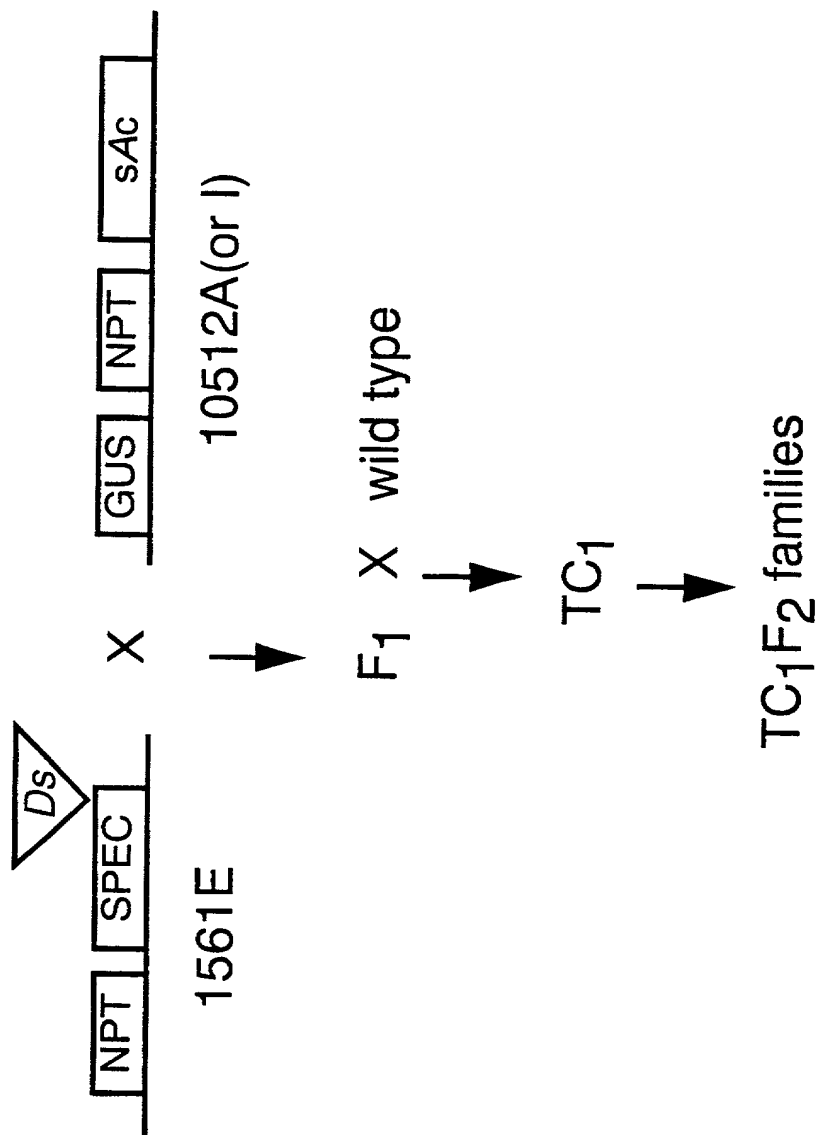
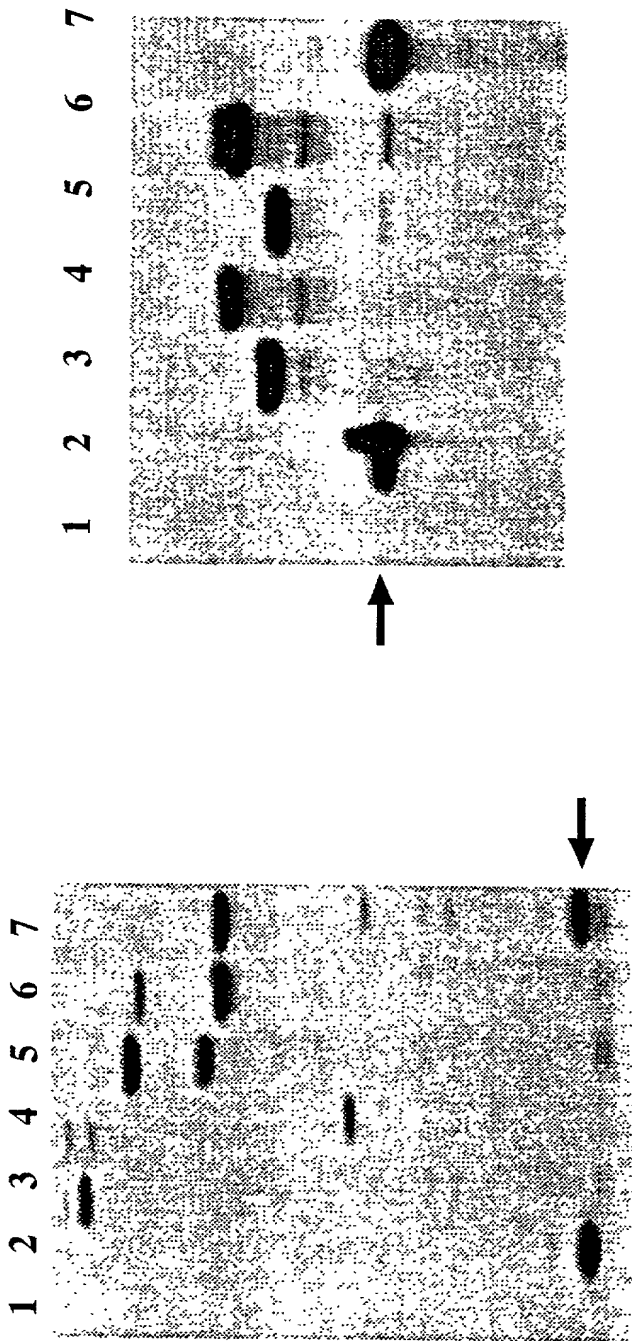
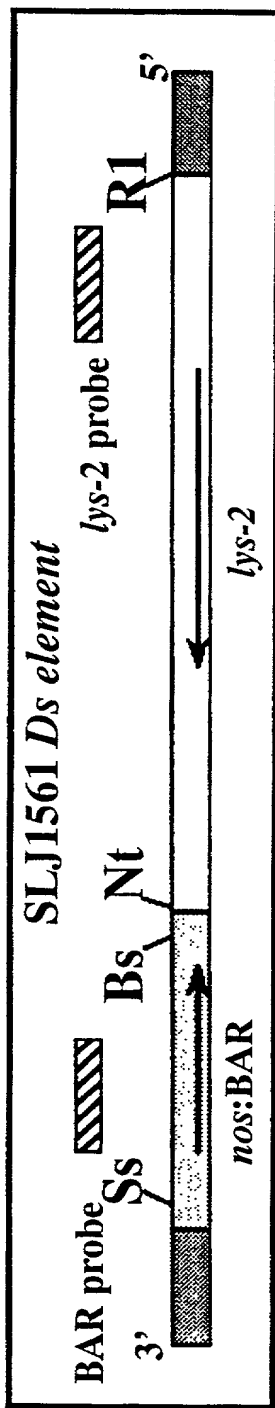


Figure 2

3/24



Sst II + BstYI digest, BAR probe Not I + EcoRI digest, *lys-2* probe

Figure 3

4/24

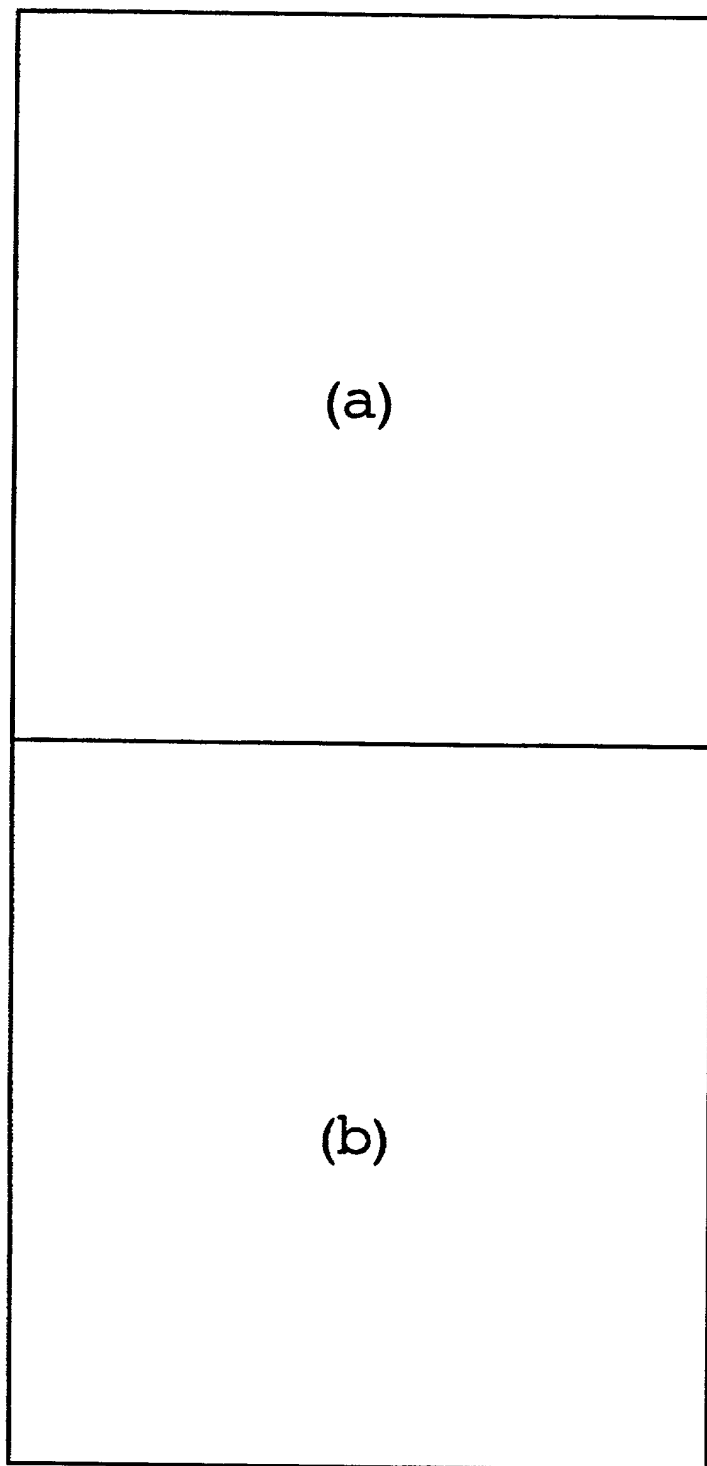


Figure 4(i)

5/24

FIGURE 4(i)

981 TTTGAAATTTATGTATATATCTGTAGCATTAGAACTATAAGAGTTGTTA 1030 **Potato**
|||||
40 TTTGAAATTTATGTATTTATCTATAGCATTAGAACTATAAGAGTTGTTA 89 **Tomato**
1031 GCTTCACTTGTCTTATTGTTGTGCTCAAAGCAACT...TCATCATACAGT 1077
|||||
90 GCTTCACTTGGCTTACTGTTGTGCTCAAAGCAACTTCATCATCATACAGT 139
1078 ATGGTTTTTATATGCTCTTCCATTATCACCGAACCTTATGATTATG.TGT 1126
|||||
140 ATGGTTTTGATATGCTCTTCCATTATCACTGAGCCTTATGATTATGTTTT 189
1127 ACGAGCTTATAATATTACTGATGGTGATTTCAGTATTATGATTATGTCCTC 1176
|||||
190 ACGAGCTTATAATATCACTGATGGTGATTTCAGTATTGTGATTATGTCCTT 239
1177 CATTAATTATTCTGTTTCATACAAGTCGTGTAATTTGCTGTTTGTGATTG 1226
|||
240 CGTTGATTATTCTGTTTCATACAAGTCGTGTAATTTGCTGTTTGTGACAG 289
1227 TACGATAAATTGATTCAACCTTCTGCGGTGTTGGTTGAAGTTCAAGTAAA 1276
|||||
290 TACGATAGATCGACTCAACCTTCTGAGGTATTAGTTGAAGTTCATGTAAA 339
1277 TTAGCTTTATTTATCATAGTAGCATTTGATTATTGATGCTCTGTAGCTAA 1326
|||||
340 TTAGCTTTGTTTATCATAGTAGCATTTGATTATTGATGCTCTGTAGCTAA 389

Figure 4(i)(a)Substitute Sheet
(Rule 26) RO/AU

[illegible]

Figure 4(i)(b)

Substitute Sheet
(Rule 26) RO/AU

Figure 4(ii)
Substitute Sheet
(Rule 26) RO/AU

8/24

cgacggcccg ggctgggtaaa tgcggaagct tgttacagat ttgaaattta 50
 tgtattttatc tatagcattha gaaactataa gagttgttag cttcacttgg 100
 cttactgttg tgctcaaagc aacttcatca tcatacagta tggtttttgat 150
 atgctcttcc attatcactg agccttatga ttatgtttta cgagcttata 200
 atatcactga tggtgattca gtattgtgat tatgtccttc gttgattatt 250
 ctgtttcata caagtcgtgt aatttgctgt ttgtgacagt acgatatagtc 300
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 tatcatagta gcattttgatt attgatgctc tgtagctaata gataagccat 400
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 tgttttttttt ttttcatgat gtcatttgaat tattcaagaa gtcactttcga 650
 gcataatgat ttttcaaaat ccacctttgt tcaagcacta ccacgtctttt 700
 tcatttagcc cacaaccgtg gtggaggatc tagaattttc atgaaaggat 750

Figure 5(i)

9/24

tcaaaattta	caaacatata	tatacactat	acactatgaa	tccactaata	800
ctagatggtg	cacctgtgcc	cccactcatg	tgaaagccta	ttctcaattt	850
tttattttcc	acaacttaaa	tacagaccgc	acaactcccg	tgtcttgtgt	900
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Figure 5(ii)

10/24

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caggaaagggt	gtatgactag	gatgcttcca	agtttggaaa	tcagcaacaa	1850
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ctgatatagt	gataaatcat	agaactgctg	ataacaaaga	tagcagggga	1950
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atccagacac	gggtttggac	tttgaacctg	cacctgatat	cgatcatctt	2100
aatacagagag	tcagaaaaga	gttatcagac	tggatgaact	ggctgaaatc	2150
tgaaaattgga	tttgatgggt	ggcgtttcga	ttttgttagg	ggatatgcac	2200
cttgcatctac	caaaatttat	atgggaaaca	cgtccccgga	ttttgctgtt	2250

Figure 5(iii)

11/24

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Figure 5(iv)

12/24

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taagtaacgt	agagattagt	tataagagaa	tttatgtatt	attatgcaga	3700
tgtttagtta	tatcgatttt	agttatttat	atgttgatta	tttcaccttc	3750

Figure 5(v)

13/24

aataatgcat ataaagatgg taaatgattg gattgatcga attcgaatga	3800
gtttgaatat gaactaatct tcaaatttaa tataaatttt ttttgtcaac	3850
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Figure 5(vi)

14/24

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Figure 5(vii)

15/24

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5400 aattggactc aaggacatca attttcgagg ggaactaact ttcagtgcctt
5450 tgctactact ggtgatggat caattgttgt tggttcactt gatggcaaga
5500 ttagattgta ctcaagcagt tccatgagac aggctaaaac tgcttttcca
5550 ggccttggtt ctctatcac tcatgtggat gttacctatg atgggaagtg
5600 gatattgggg acaactgata cttaacttgat attgatatgc accttgttta
5650 tgcacaagaa tggaaactact aagactggtt ttgctgggtc catgggaaat
5700 aagatttccg ctccaagatt gttaaagcta aacctctcgc attcacatat
5750 ggctggagct aacaagtacc gcagtgccta attttcatgg gtcaccgaga
5800 atgggaagca agagcgccac ctcgttgcta ctgttgggaa gtttagtgtg
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5900 tcaggttggg ttgaagagct gctattgtta caagatagtc ctaagagacg
5950 actctattgt agaaagtctt ttcatgcatg acaagtcgc tgtttctgac
6000 tcacctgaag caccactggc ggtagcaacc cccatgaaag tcagctcatt

Figure 5(viii)

16/24

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Figure 5(ix)

17/24

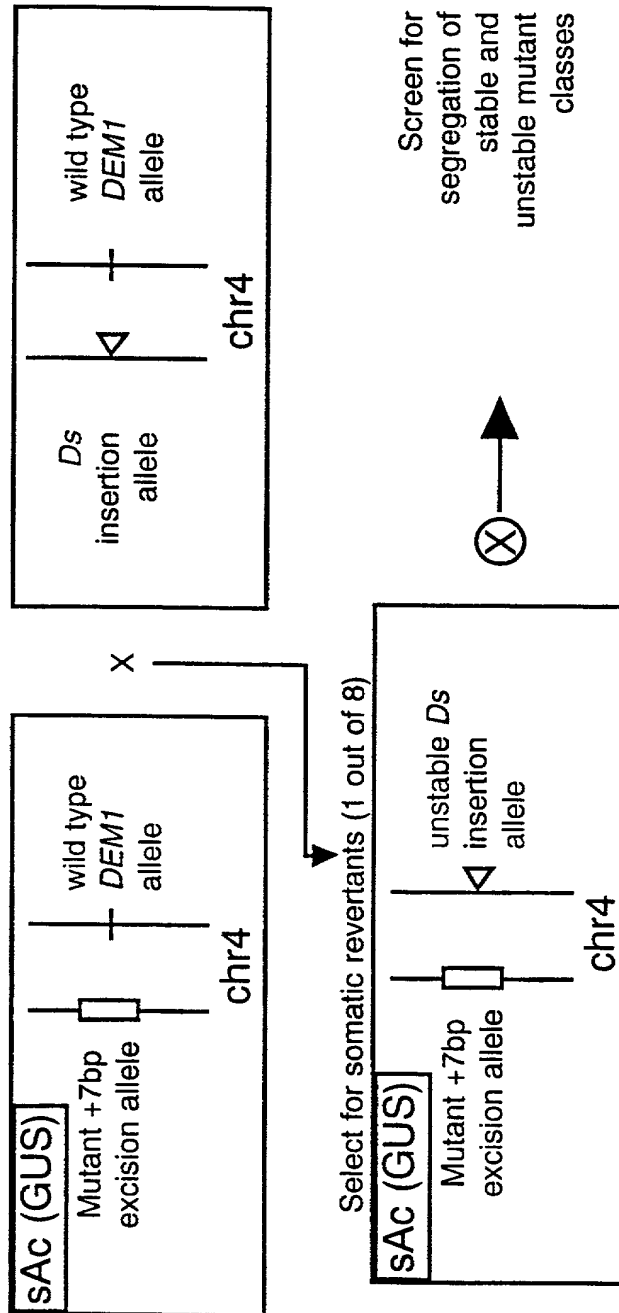
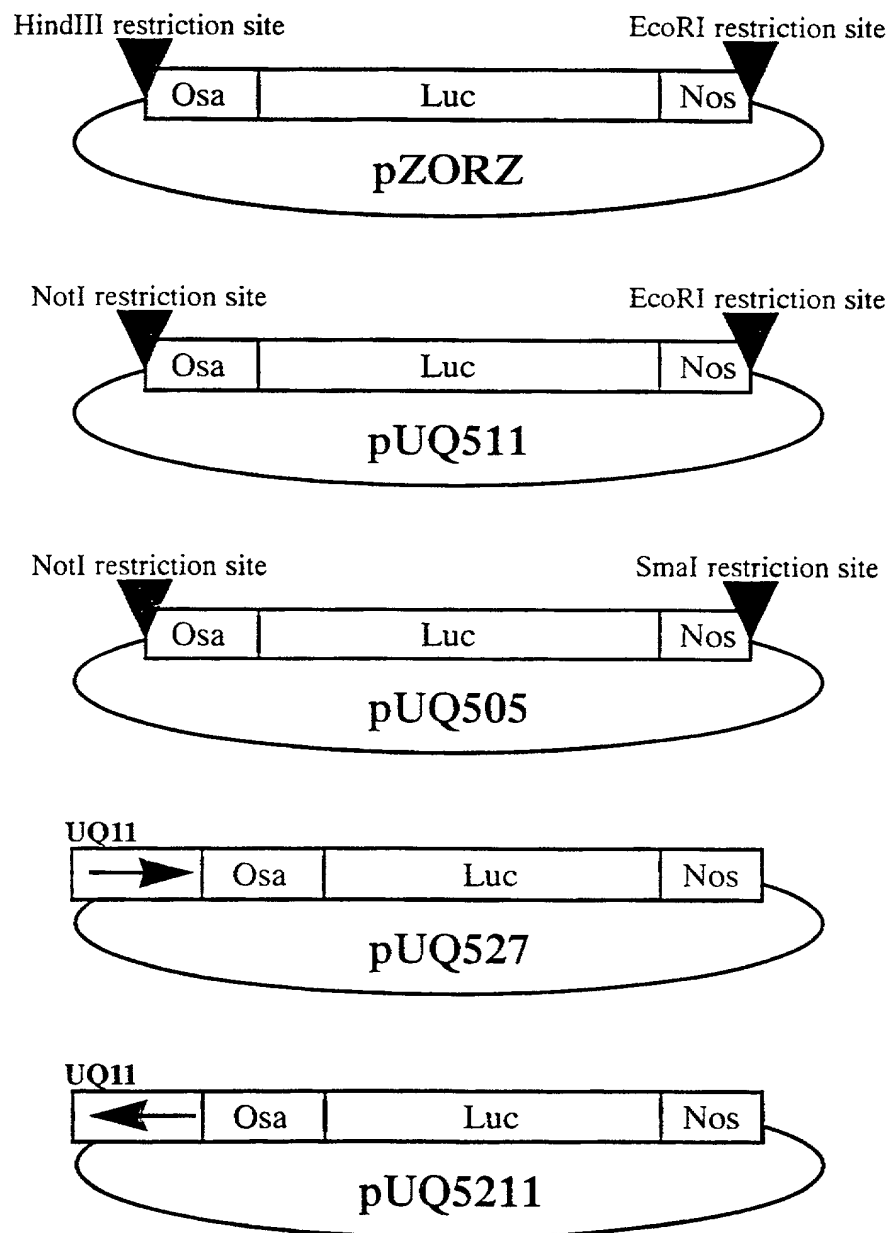


Figure 6

18/24**Figure 7**Substitute Sheet
(Rule 26) RO/AU

19/24

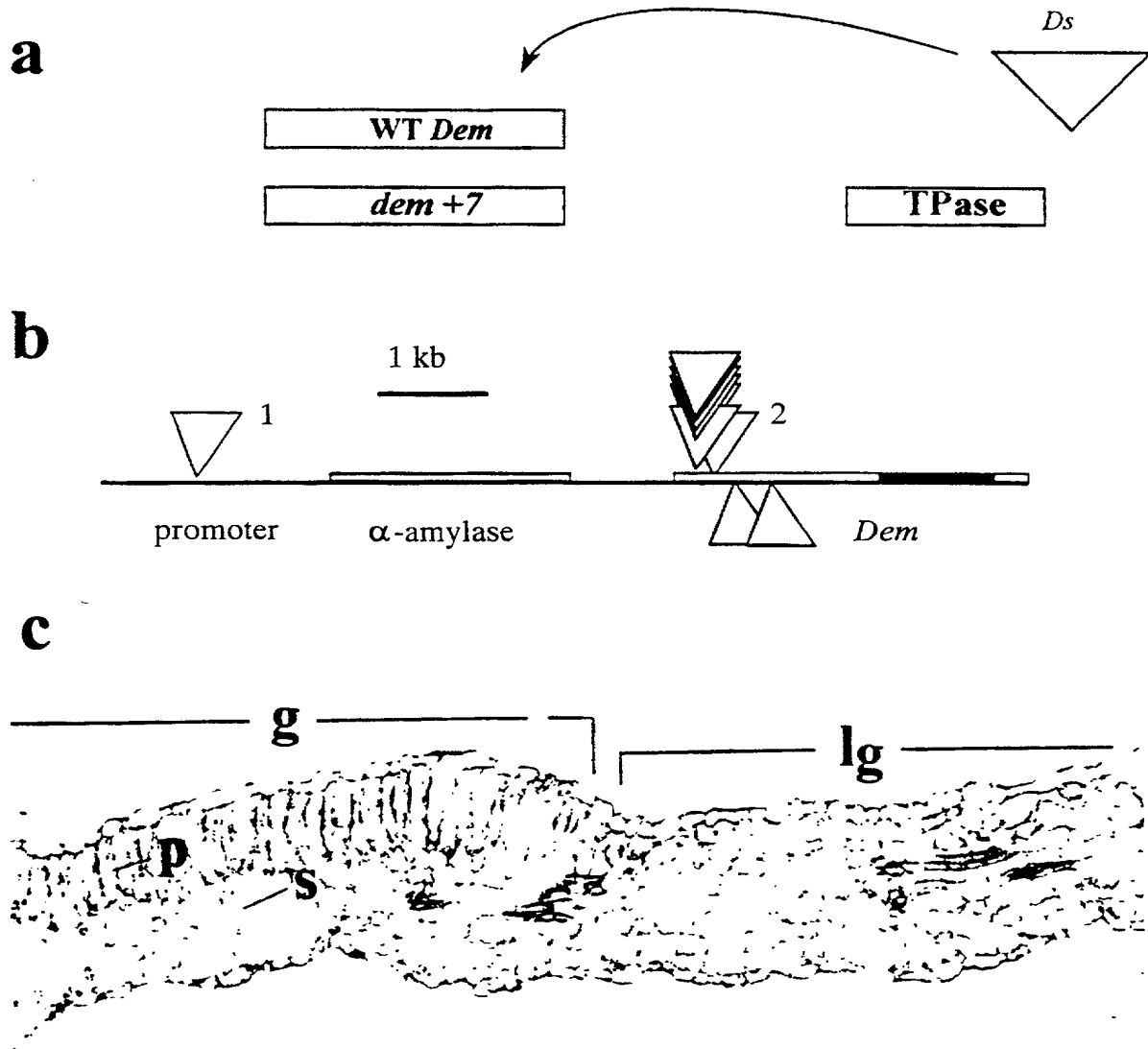


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Substitute Sheet
(Rule 26) RO/AU

20/24

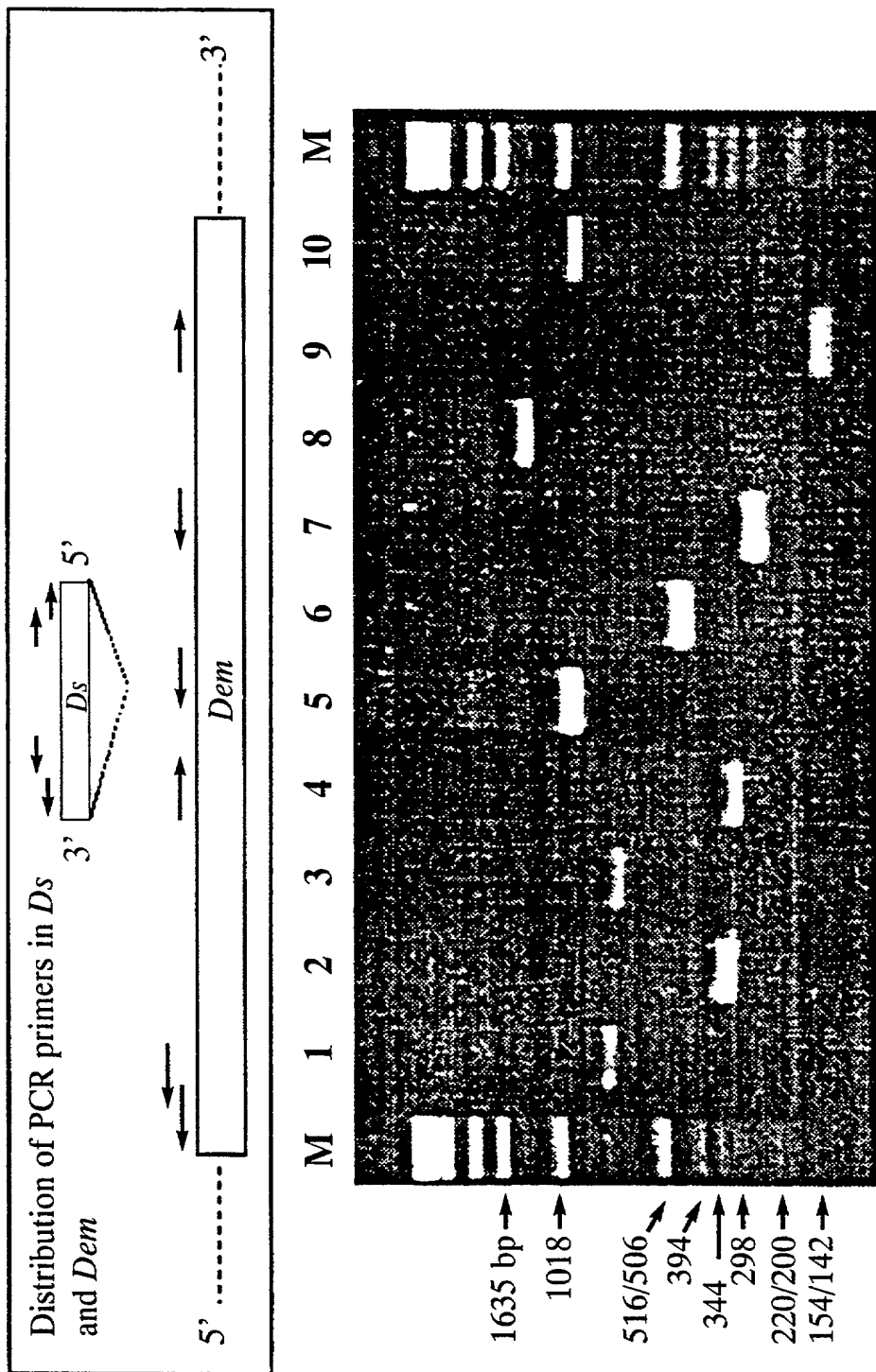
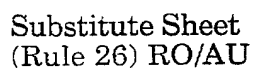


Figure 9



22/24

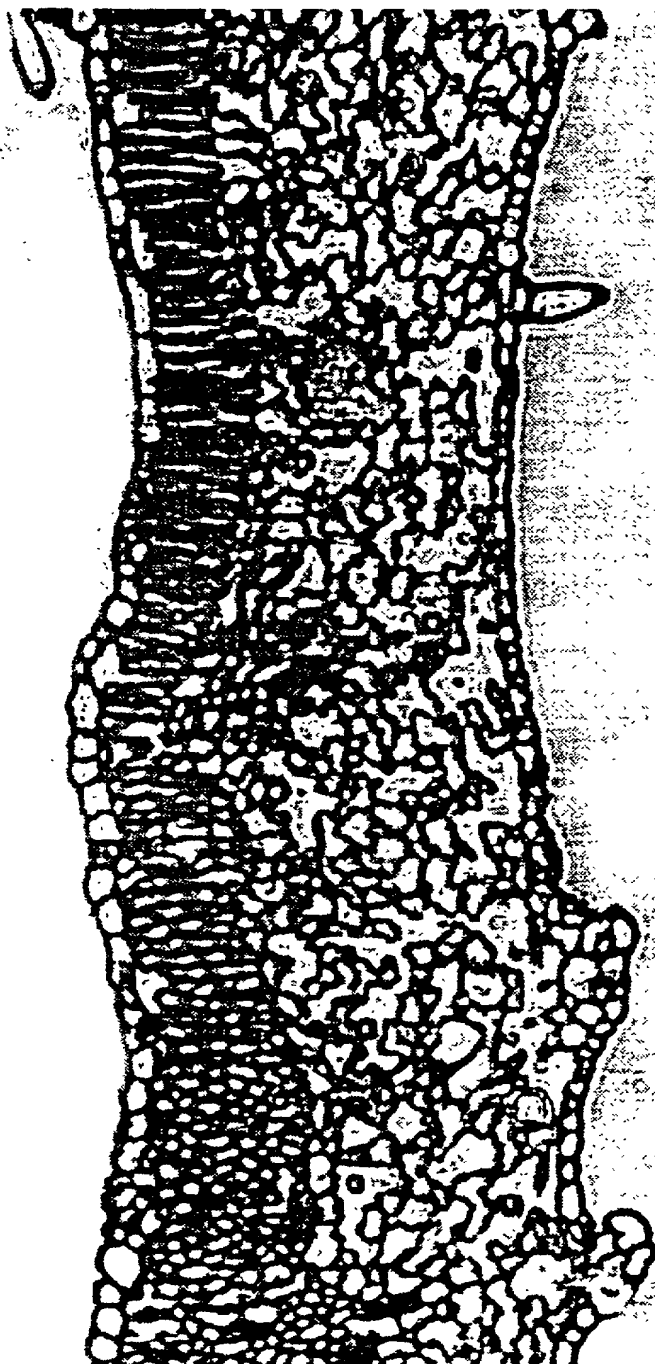


Figure 11

23/24

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Figure 12

T-DNA

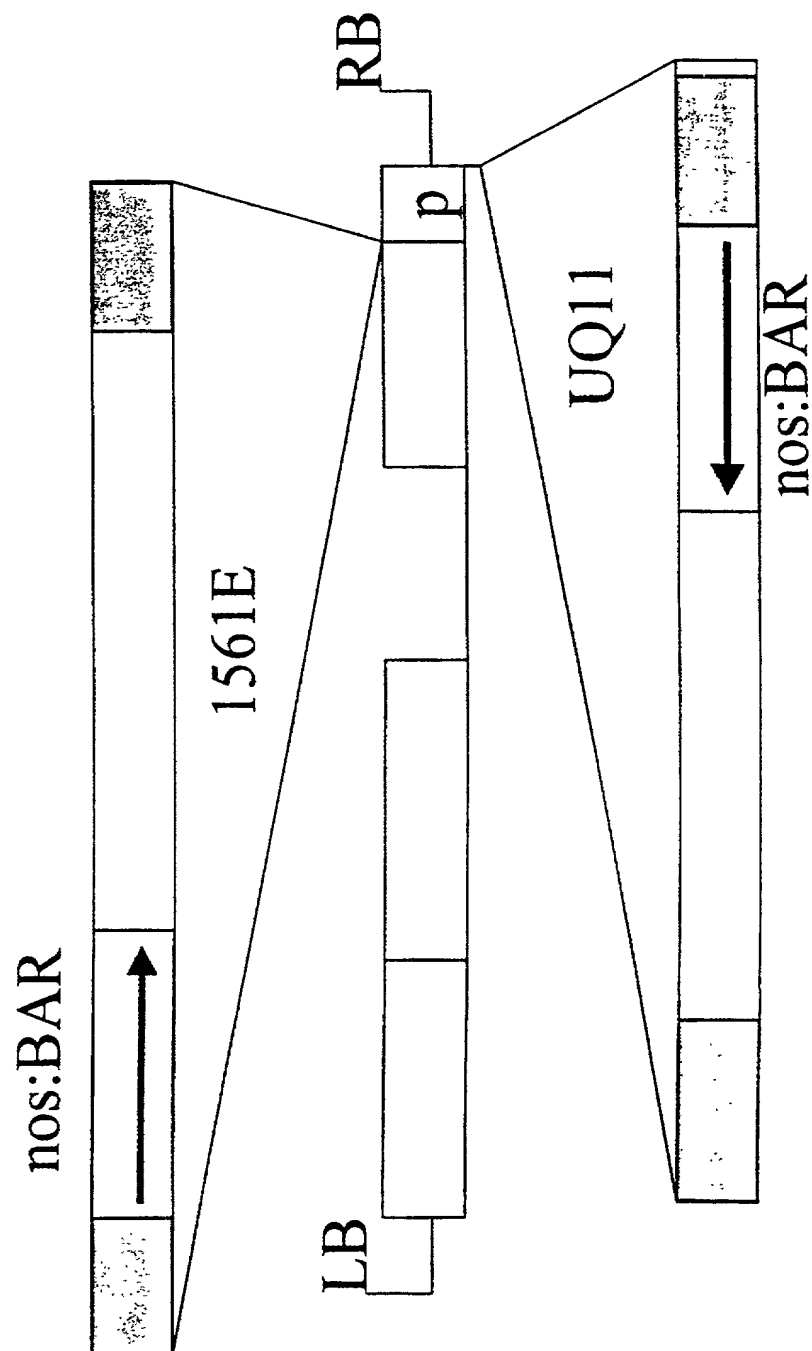


Figure 13

**COMBINED DECLARATION AND
POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

my residence, post office address and citizenship is as stated below adjacent to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled, **Phenotype Modifying Genetic Sequences** the specification of which (check one):

☐ is attached hereto

☒ was filed as United States Patent Application Serial No. 09/701,926 on December 4, 2000
_____, and was amended on _____ (if applicable)

☐ was filed as PCT Patent Application Serial No. _____ on
_____, and was amended under PCT Article 19 on
_____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the claims of this application in accordance with Title 37, CFR § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b), of any foreign applications(s) for patent or inventor's certificate, or under § 365(a), of any PCT international applications(s) designating at least one country other than United States of America listed below and have also identified below any foreign applications(s) for patent or inventors' certificate or any PCT international application(s) designating at least one country other than the United States of America filed by us on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S)
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119 (a) - (d) or 365(b), or 365(a)**

Country (if PCT, indicate PCT)	Application Number	Filing Date (mm/dd/yy)	Priority Claimed Under 35 U.S.C. §119 (yes/no)
Australia	PP 3901	June 4, 1998	Yes
Australia	PP 3903	June 4, 1998	Yes
Australia	PP 6169	September 25, 1998	Yes
Australia	PP 6174	September 25, 1998	Yes

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed:

Application Number(s)	Filing Date (mm/dd/yy)	Priority Claimed Under 35 U.S.C. § 119

I hereby claim the benefit under Title 35, United States Code § 120 of any United States Application, or under § 365(c), any PCT International Application designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATION(S) OR PCT INTERNATIONAL APPLICATION(S) DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120 or § 365(c)

Application Number(s)	Filing Date (mm/dd/yy)	Status: Patented, Pending, or Abandoned
PCT/AU99/00434	June 4, 1999	Pending

Power of Attorney. As a named inventor, I hereby appoint the following attorney(s) and/or agents associated with Hale and Dorr LLP, 300 Park Avenue, New York, NY 11221, Customer No. 28089, to prosecute the above-identified application and transact all business in the Patent and Trademark Office connected therewith:

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Hollie L. Baker	Reg. No. <u>31,321</u>
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Michael J. Bevilacqua	Reg. No. <u>31,091</u>
Sally Byrne	Reg. No. <u>40,545</u>
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Ira H. Donner	Reg. No. <u>35,120</u>
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Anthony Kahng	Reg. No. <u>42,704</u>
Janice M. Klunder, Ph.D.	Reg. No. <u>41,121</u>
James B. Lampert	Reg. No. <u>24,564</u>
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Inventor's Signature B. Carroll Date 30/4/01

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Dept of Biochemistry & Molecular Biology,
The University of Queensland,
Brisbane, 4072, Australia

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<210> 4

<211> 684

<212> DNA

<213> Tomato

<400> 4

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 acccttaaat gtagggaatt tgaagtttta aaaaccacac tttgttattt attggcccaa 180
 atactcgata atctttacat tattgaaaat caacattcaa aaggaacgaa ctttcaatca 240
 caccatcaat gtcaactttc ttttattttg gataatctaa gtttttaaat tgcaagtaaaa 300
 tnaaataaaa ccctaaactt cttctaggtt gagacttagt aaatatgaat tatataaaga 360
 attcatgaca aatgagacat agaataagt ccagcaaatt acttttttga tatcttatct 420
 gtgatatcgg aattttaact accataaatt tatgaatgaa atatcactta tctattagag 480

- 5 -

aggattttaat ctcccttata atgacattga taaaagcaag nacaagtgct ctttatttct 540
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 aagctacgaa taacataata gtatattact ccnaattatt ttgatttatt taaaatgact 660
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 <212> DNA
 <213> Tomato

<400> 5
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 aaaaatgaat atattagaac agctcactcc aatattaaaa gagagaaaaa aaatattttc 540
 ccaccacaat gccataatcc ttgagcttag ctatttataa gtaaaaaaaa tgttttcttg 600
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<210> 6
 <211> 63
 <212> DNA
 <213> Tomato

<400> 6
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 tcc 63

<210> 7
 <211> 63
 <212> DNA
 <213> Potato

<400> 7
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 tcc 63

<210> 8
 <211> 708
 <212> DNA
 <213> Tomato

<400> 8
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 acattattgt ctctaaaaat ttacaacat ttcttaaggg aacttaatta gttacagtga 180

09701926-060101

- 6 -

acatatgttg aaattaccct ttatcccctt acaattgatt taataaatat ttcccctatc 240
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 tttgaaaatt gcgctcctca cagttctccc ctattcagat ttgattcatt ctcttcattt 660
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<210> 9

<211> 886

<212> DNA

<213> Tomato

<400> 9

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 tattcttttg attgcttgnn acttgttcaa tcacattgtt gctatcttta actgatctcg 660
 atcctactgt tcttgtatag cactgttata gaaccaaaga agcacatcta agaactacat 720
 ttgcactatt tgcaattata gagcttaaat atagccagtg ttttctgact aaacgaacga 780
 ttgagatcaa aaatacaatt ccacatatag cacctgaaat aagtaacgga cctgagaaca 840
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<210> 10

<211> 295

<212> DNA

<213> Agrobacterium sp.

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 gcaccggtga gtaatatgtt acgggctaaga gcgaatttgg cctgtagacc tcaattgcga 240
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<210> 11

<211> 591

<212> DNA

<213> Tomato

<400> 11

acaaatccaa cccaataagc acattcctct tataagatcc atcccaataa catgtaagtt 60
 caaggactct aaccacacac aaattcacat ttcatttgtt aatcaccaaa aacatcttaa 120

09701926-060101

- 7 -

gaatcaacaa aaagcaagta gaatgtatca ctcacattaa cttgcacaaa gaaatttcttt 180
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<210> 12

<211> 1619

<212> DNA

<213> Tomato

<400> 12

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 caacaatttt cacttttctt caggaggatt ttaacgtgtg gaatttctgg agacttccac 180
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 aagtcaagtt tgattgaacc acaaacaagg ctcatgttat ctgtatatgg cagcgaaaag 480
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 tacacatact tatgagaagg aaaatacgaa atacgctcct tcaagacgag ttgaactttg 660
 taaattgttg tagtattagt atatgttaat gaggaatgt agattttgtt gtagtttggg 720
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 agatgttttc tttaaatttt actttatttt ttttaggata aaaaatttgc aattcctaa 1619

<210> 13

<211> 1193

<212> DNA

<213> Tomato

<400> 13

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 taactttaag aatccgtgca aaaaatcatc tactcaatta actcgatcaa tattctttca 120

09701926-060101

- 8 -

tcggtaactt acccgtttgg tattatatgt gtaaataatac ctaaataataa atacgagtct 180
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<210> 14
 <211> 222
 <212> DNA
 <213> Tomato

<400> 14
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 atagacctga aattactttt agcttttctt ttttaaagnn nnnnnnnnnn nngaactcga 180
 tatgggtaca ataataattt tgaagagtcn tgagcaacat ag 222

<210> 15
 <211> 222
 <212> DNA
 <213> Potato

<400> 15
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 gtagnnntgt atttagatgt gtgtgatatt tcaaaaagtt gtgtattttg gagaatttga 180
 tacgggtgcg gcaacaattt tgaagagtca ggagcaaaaat ag 222

<210> 16
 <211> 42
 <212> DNA
 <213> Tomato

<400> 16
 tatgttgctc aaatccttca aaaatctcga cagatgcatg gc 42

<210> 17
 <211> 42
 <212> DNA

0901996-060101

- 9 -

<213> Potato

<400> 17

tatgttgctc aaacacttca aaaatgtcca caggtgcgtg tc

42

<210> 18

<211> 41

<212> DNA

<213> Tomato

<400> 18

ctatgttgct caaatccttc aaaaatctcg acagatgcat g

41

<210> 19

<211> 41

<212> DNA

<213> Potato

<400> 19

ctatgttgct caaatccttc aaaaatgtca ttggatgcgt g

41

<210> 20

<211> 23

<212> DNA

<213> Tomato

<400> 20

atgttgctca aatccttcaa aaa

23

<210> 21

<211> 23

<212> DNA

<213> Tomato

<400> 21

atgttgctca aatcctccaa aaa

23

<210> 22

<211> 39

<212> DNA

<213> Tomato

<400> 22

gaatcggata tgggtacaat aatatttttg aagagtctg

39

<210> 23

<211> 39

<212> DNA

<213> Potato

<400> 23

- 10 -

gaatttgata cgggtgcggc aacaattttg aagagtcag 39

<210> 24
<211> 48
<212> DNA
<213> Tomato

<400> 24
tctatgttgc tcagactcctt caaaaatatt attgtaccca tatccgat 48

<210> 25
<211> 48
<212> DNA
<213> Potato

<400> 25
tctatgttgc tcaaatcctt caaaaatgtc attggatgcg tgttggat 48

<210> 26
<211> 59
<212> DNA
<213> Tomato

<400> 26
ttttttaag gaatcggata tgggtacaat aatatttttg aagagtctga gcaacatag 59

<210> 27
<211> 59
<212> DNA
<213> Potato

<400> 27
ttctttttga ggatccgata cgagtacgac aacaattttg gggagttcga gcaacatag 59

<210> 28
<211> 59
<212> DNA
<213> Tomato

<400> 28
cagactcttc aaaaatatta ttgtacccat atccgattcc tttaaaaaag aaaagctaa 59

<210> 29
<211> 59
<212> DNA
<213> Brassica napus

<400> 29
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<210> 30
<211> 23

- 11 -

<212> DNA

<213> Tomato

<400> 30

acaataatat ttttgaagag tct

23

<210> 31

<211> 23

<212> DNA

<213> Tomato

<400> 31

acaacaatat ttttgaagag tct

23

<210> 32

<211> 1588

<212> DNA

<213> Tomato

<400> 32

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<210> 33

<211> 1307

<212> DNA

- 12 -

<213> Tomato

<400> 33

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aagtatattg actaatTTTT tgcatatgtg gttcaaagga ggaattTTTT aattacaaaa 180
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taatctatgg attaggttaa ataaagtaac caacaatat ttttgttgga ctaaatttta 1260
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<210> 34

<211> 255

<212> DNA

<213> Tomato

<400> 34

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cttatgttta gtttaaaact ctagaaaaat anntatttcc tattatacct ttgagttatt 180
gtgagaattt gtatttcatt taactnagtc aagttaaatt cnaatttata tatatatata 240
tatattatta atttt 255

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<210> 35

<211> 255

<212> DNA

<213> Tomato

<400> 35

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gatcgtagcg tacaaagatc aatacttcag gnnnnnnnnn nnnnnngagt agtaatacat 60
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tttgtgtgtg gtttaaagtt tacaaaaaat aattctttcc aattatacgc taaagttatt 180
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ttttttataa cattt 255

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<210> 36

<211> 74

09701926-060101

- 13 -

<212> DNA
<213> Tomato

<400> 36
attattaacg tatagattag taatacatag attagtaatg catggattag tttttatcaa 60
gtgttttgatt catt 74

<210> 37
<211> 74
<212> DNA
<213> Tomato

<400> 37
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gtgaatgatt gagt 74

<210> 38
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<212> DNA
<213> Tomato

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aaagtatttg ataatgt 197

<210> 39
<211> 197
<212> DNA
<213> Tomato

<400> 39
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ataacatttta ttttgaataa ataattttga tataatacac aattttcnnt taaaagcaa 180
cgannnnnng ataatgt 197